## CHAPTER 5

## Sample Implementation in PIRLS 2016

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## Overview

Rigorous sampling of schools and students was a key component of the PIRLS 2016 project. Implementing the sampling plan was the responsibility of the National Research Coordinator (NRC) in each participating country. NRCs were supported in this endeavor by the PIRLS 2016 sampling consultants, Statistics Canada, and the Sampling Unit of IEA Hamburg. Sampling consultants conducted the school sampling for most countries and trained NRCs using the Windows ${ }^{\ominus}$ Within-school Sampling Software (WinW3S) provided by IEA Hamburg to implement within-school sampling. As an essential part of their sampling activities, NRCs were responsible for providing detailed documentation describing their national sampling plans (sampling data, school sampling frames, and school sample selections). The documentation for each PIRLS participant was reviewed and completed by the sampling consultants, including detailed information on coverage and exclusion levels, stratification variables, sampling, participation rates, and variance estimates. The TIMSS \& PIRLS International Study Center and the PIRLS 2016 Sampling Referee, Dr. Keith Rust of Westat, Inc., used this information to evaluate the quality of the samples.

This chapter provides a summary of the major characteristics of the national samples for PIRLS 2016, including PIRLS Literacy and ePIRLS. More detailed information on the sample design for each country, including details of population coverage and exclusions, stratification variables, and schools' sampling allocations, is provided in Appendix 5A Characteristics of National Samples.

## Target Population

As described in Chapter 3 (Sample Design), the international target population for the PIRLS 2016 assessment is defined as the grade representing 4 years of formal schooling, counting from the first year of primary or elementary schooling.

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For the PIRLS 2016 cycle, countries could participate in PIRLS Literacy-a less difficult reading assessment. PIRLS Literacy, which replaces prePIRLS from PIRLS 2011, was designed for countries where students found the PIRLS reading assessment too difficult. Countries considering PIRLS Literacy had the option of participating in PIRLS Literacy only or in both the PIRLS Literacy and PIRLS assessments. For countries who participated in both assessments, the student sample size was doubled and the PIRLS and PIRLS Literacy booklets were rotated within the sampled classes so that each student in the class was given either a PIRLS booklet or a PIRLS Literacy booklet.

The Islamic Republic of Iran and Morocco administered both PIRLS and PIRLS Literacy, while Egypt, Kuwait, and South Africa administered PIRLS Literacy only. Denmark administered PIRLS Literacy at the third grade and PIRLS at the fourth grade.

Exhibit 5.1 presents the grade identified as the target grade for sampling by each country and includes the number of years of formal schooling that the grades represent and the average age of students in the target grade at the time of testing.

For most countries, the target grade did indeed turn out to be the grade with 4 years of schooling-i.e., the fourth grade. However, in England, Malta, New Zealand, and Trinidad and Tobago, children begin primary school at an early age. ${ }^{1}$ Therefore, these countries administered the PIRLS assessment in the fifth year of schooling. Norway chose to assess its fifth grade to obtain better comparisons with Sweden and Finland, while also assessing its fourth grade to measure trends to previous PIRLS assessments.

In addition to administering PIRLS Literacy at the fourth grade, South Africa administered PIRLS to assess students taught in English, Afrikaans, and Zulu at the fifth grade.

[^0] higher grade (i.e., fifth grade) if the average age at the time of testing would be less than 9.5 years.

Exhibit 5.1: National Grade Definition - PIRLS 2016

| Country | Country's Name for Grade Tested | Years of Formal Schooling | Average Age at Time of Testing |
| :---: | :---: | :---: | :---: |
| Australia | Year 4 | 4 | 10.0 |
| Austria | Grade 4 | 4 | 10.3 |
| Azerbaijan | Grade 4 | 4 | 10.1 |
| Bahrain | Grade 4 | 4 | 9.9 |
| Belgium (Flemish) | Grade 4 | 4 | 10.1 |
| Belgium (French) | Grade 4 | 4 | 10.0 |
| Bulgaria | Grade 4 | 4 | 10.8 |
| Canada | Grade 4 | 4 | 9.9 |
| Chile | Grade 4 | 4 | 10.1 |
| Chinese Taipei | Grade 4 | 4 | 10.1 |
| Czech Republic | Grade 4 | 4 | 10.3 |
| Denmark | Grade 4 | 4 | 10.8 |
| Egypt | Grade 4 | 4 | 10.0 |
| England | Year 5 | 5 | 10.3 |
| Finland | Grade 4 | 4 | 10.8 |
| France | Grade 4 | 4 | 9.8 |
| Georgia | Grade 4 | 4 | 9.7 |
| Germany | Grade 4 | 4 | 10.3 |
| Hong Kong SAR | Primary 4 | 4 | 9.9 |
| Hungary | Grade 4 | 4 | 10.6 |
| Iran, Islamic Rep. of | Grade 4 | 4 | 10.2 |
| Ireland | Fourth Class | 4 | 10.5 |
| Israel | Grade 4 | 4 | 10.0 |
| Italy | Grade 4 | 4 | 9.7 |
| Kazakhstan | Grade 4 | 4 | 10.3 |
| Kuwait | Primary Grade 4 | 4 | 9.6 |
| Latvia | Grade 4 | 4 | 10.9 |
| Lithuania | Grade 4 | 4 | 10.8 |
| Macao SAR | Primary 4 | 4 | 10.0 |
| Malta | Year 5 | 5 | 9.7 |
| Morocco | Grade 4 | 4 | 10.2 |
| Netherlands | Grade 6 | 4 | 10.1 |
| New Zealand | Year 5 | 4.5-5.5 | 10.1 |
| Northern Ireland | Year 6 | 4 | 10.4 |
| Norway (5) | Grade 5 | 5 | 10.8 |
| Oman | Grade 4 | 4 | 9.7 |

Exhibit 5.1: National Grade Definition - PIRLS 2016 (Continued)

| Country | Country's Name <br> for Grade Tested | Years of Formal <br> Schooling | Average Age at <br> Time of Testing |
| :--- | :---: | :---: | :---: |
| Poland | Primary 4 | 4 | 10.7 |
| Portugal | Grade 4 | 4 | 9.8 |
| Qatar | Grade 5 for English <br> curriculum schools; Grade <br> 4 for other schools | 4 | 10.0 |
| Russian Federation | Grade 4 | 4 | 10.8 |
| Saudi Arabia | Grade 4 | 4 | 9.9 |
| Singapore | Grade 4 | 4 | 10.4 |
| Slovak Republic | Grade 4 | 10.4 |  |
| Slovenia | Grade 4 | 4 | 9.9 |
| South Africa | Grade 4 | 4 | 10.6 |
| Spain | Grade 4 | 4 | 9.9 |
| Sweden | Grade 4 | 4 | 10.7 |
| Trinidad and Tobago | Standard 3 | 4 | 10.2 |
| United Arab Emirates | Grade 4 | 5 | 9.8 |
| United States | Grade 4 | 4 | 10.1 |

Benchmarking Participants

| Buenos Aires, Argentina | Grade 4 | 4 | 10.0 |
| :--- | :--- | :--- | ---: |
| Ontario, Canada | Grade 4 | 4 | 9.8 |
| Quebec, Canada | Grade 4 | 4 | 10.1 |
| Denmark (3) | Grade 3 | 3 | 9.8 |
| Norway (4) | Grade 4 | 4 | 9.8 |
| Moscow City, Russian Fed. | Grade 4 | 4 | 10.8 |
| Eng/Afr/Zulu - RSA (5) | Grade 5 | 5 | 11.6 |
| Andalusia, Spain | Grade 4 | 4 | 9.8 |
| Madrid, Spain | Grade 4 | 4 | 9.9 |
| Abu Dhabi, UAE | Grade 4 | 4 | 9.7 |
| Dubai, UAE | Grade 4; Year 5 for schools <br> following UK curriculum | 4 | 9.9 |

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## National Coverage and Exclusions

Exhibits 5.2 summarizes population coverage and exclusions for the PIRLS 2016 and Exhibit 5.3 provides a similar summary for ePIRLS.

## Coverage

National coverage of the PIRLS 2016 international target population was generally comprehensive, with some exceptions. These included Canada, which assessed students only from the provinces of Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland, Ontario, Quebec, and Saskatchewan, and Georgia, which assessed only students taught in Georgian and Azerbaijani. These participants chose a national target population that was less than the international target population. For these exceptions where coverage was below 100 percent, the results were footnoted in the PIRLS 2016 international reports.

The national coverage for PIRLS and ePIRLS was equivalent for every country but Canada. In Canada, only British Columbia, Newfoundland, Ontario, and Quebec took part in ePIRLS.

Exhibit 5.2: Coverage of Target Population - PIRLS 2016

| Country | International Target Population |  | Exclusions from National Target Population |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Coverage | Notes on Coverage | SchoolLevel Exclusions | WithinSample Exclusions | Overall Exclusions |
| Australia | 100\% |  | 2.3\% | 2.4\% | 4.8\% |
| ${ }^{2}$ Austria | 100\% |  | 1.2\% | 4.4\% | 5.6\% |
| Azerbaijan | 100\% |  | 2.1\% | 0.0\% | 2.1\% |
| Bahrain | 100\% |  | 0.4\% | 2.3\% | 2.7\% |
| Belgium (Flemish) | 100\% |  | 0.7\% | 0.9\% | 1.6\% |
| ${ }^{2}$ Belgium (French) | 100\% |  | 4.9\% | 1.1\% | 6.0\% |
| Bulgaria | 100\% |  | 1.2\% | 3.1\% | 4.3\% |
| 12 Canada | 97\% | Students from the provinces of Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland, Ontario, Quebec, and Saskatchewan | 2.8\% | 4.7\% | 7.5\% |
| Chile | 100\% |  | 1.7\% | 2.3\% | 4.0\% |
| Chinese Taipei | 100\% |  | 0.0\% | 0.9\% | 0.9\% |
| Czech Republic | 100\% |  | 2.7\% | 0.7\% | 3.4\% |
| ${ }^{2}$ Denmark | 100\% |  | 1.9\% | 7.9\% | 9.8\% |
| Egypt | 100\% |  | 1.2\% | 0.0\% | 1.2\% |
| England | 100\% |  | 1.6\% | 2.1\% | 3.7\% |
| Finland | 100\% |  | 1.3\% | 1.2\% | 2.4\% |
| France | 100\% |  | 4.7\% | 0.6\% | 5.4\% |
| ${ }^{1}$ Georgia | 96\% | Students taught in Georgian and Azerbaijani | 0.8\% | 3.0\% | 3.8\% |
| Germany | 100\% |  | 1.4\% | 2.8\% | 4.2\% |
| ${ }^{2}$ Hong Kong SAR | 100\% |  | 7.3\% | 2.8\% | 10.1\% |
| Hungary | 100\% |  | 2.6\% | 1.9\% | 4.5\% |
| Iran, Islamic Rep. of | 100\% |  | 3.9\% | 0.1\% | 4.1\% |
| Ireland | 100\% |  | 2.3\% | 0.8\% | 3.1\% |
| ${ }^{3}$ Israel | 100\% |  | 21.0\% | 3.9\% | 24.9\% |
| Italy | 100\% |  | 0.8\% | 4.1\% | 4.9\% |
| Kazakhstan | 100\% |  | 4.1\% | 0.8\% | 4.9\% |
| Kuwait | 100\% |  | 2.5\% | 1.4\% | 4.0\% |
| ${ }^{2}$ Latvia | 100\% |  | 4.3\% | 3.5\% | 7.9\% |
| Lithuania | 100\% |  | 2.1\% | 2.1\% | 4.2\% |
| Macao SAR | 100\% |  | 1.4\% | 2.2\% | 3.6\% |
| ${ }^{2}$ Malta | 100\% |  | 1.5\% | 6.4\% | 7.9\% |

1 National Target Population does not include all of the International Target Population.
2 National Defined Population covers $90 \%$ to $95 \%$ of National Target Population.
3 National Defined Population covers less than $90 \%$ of National Target Population (but at least 77\%).

Exhibit 5.2: Coverage of Target Population - PIRLS 2016 (Continued)

| Country | International Target Population |  | Exclusions from National Target Population |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Coverage | Notes on Coverage |  | Within- <br> Sample Exclusions | Overall Exclusions |
| Morocco | 100\% |  | 1.7\% | 0.0\% | 1.7\% |
| Netherlands | 100\% |  | 2.4\% | 0.7\% | 3.1\% |
| New Zealand | 100\% |  | 1.3\% | 2.4\% | 3.7\% |
| Northern Ireland | 100\% |  | 2.6\% | 0.4\% | 3.0\% |
| Norway (5) | 100\% |  | 2.0\% | 3.3\% | 5.3\% |
| Oman | 100\% |  | 0.1\% | 0.5\% | 0.6\% |
| Poland | 100\% |  | 1.4\% | 2.5\% | 3.9\% |
| ${ }^{2}$ Portugal | 100\% |  | 1.0\% | 6.5\% | 7.5\% |
| Qatar | 100\% |  | 2.0\% | 1.9\% | 3.9\% |
| Russian Federation | 100\% |  | 2.0\% | 2.1\% | 4.1\% |
| Saudi Arabia | 100\% |  | 1.9\% | 0.4\% | 2.3\% |
| ${ }^{3}$ Singapore | 100\% |  | 10.6\% | 0.5\% | 11.1\% |
| Slovak Republic | 100\% |  | 3.1\% | 1.7\% | 4.8\% |
| Slovenia | 100\% |  | 1.5\% | 0.8\% | 2.4\% |
| South Africa | 100\% |  | 2.4\% | 0.2\% | 2.5\% |
| Spain | 100\% |  | 1.6\% | 3.2\% | 4.8\% |
| Sweden | 100\% |  | 1.3\% | 3.9\% | 5.2\% |
| Trinidad and Tobago | 100\% |  | 0.3\% | 1.0\% | 1.3\% |
| United Arab Emirates | 100\% |  | 2.0\% | 1.3\% | 3.3\% |
| United States | 100\% |  | 0.0\% | 4.8\% | 4.8\% |

Benchmarking Participants

| Buenos Aires, Argentina | $100 \%$ | $1.5 \%$ | $1.2 \%$ | $2.8 \%$ |
| :--- | :--- | :--- | :--- | :--- |
| Ontario, Canada | $100 \%$ | $2.3 \%$ | $1.8 \%$ | $4.1 \%$ |
| Quebec, Canada | $100 \%$ | $3.5 \%$ | $1.6 \%$ | $5.1 \%$ |
| 2 Denmark (3) | $100 \%$ | $1.9 \%$ | $7.5 \%$ | $9.3 \%$ |
| Norway (4) | $100 \%$ | $2.0 \%$ | $3.0 \%$ | $5.1 \%$ |
| Moscow City, Russian Fed. | $100 \%$ | $0.8 \%$ | $2.6 \%$ | $3.3 \%$ |
| Eng/Afr/Zulu - RSA (5) | $100 \%$ | $0.9 \%$ | $0.2 \%$ | $1.1 \%$ |
| Andalusia, Spain | $100 \%$ | $1.0 \%$ | $3.2 \%$ | $4.2 \%$ |
| ${ }^{2}$ Madrid, Spain | $100 \%$ | $3.1 \%$ | $3.4 \%$ | $6.5 \%$ |
| Abu Dhabi, UAE | $100 \%$ | $2.2 \%$ | $1.7 \%$ | $3.9 \%$ |
| Dubai, UAE | $100 \%$ | $1.6 \%$ | $1.5 \%$ | $3.2 \%$ |

Exhibit 5.3: Coverage of Target Population - ePIRLS 2016

| Country | International Target Population |  | Exclusions from National Target Population |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Coverage | Notes on Coverage | SchoolLevel Exclusions | WithinSample Exclusions | Overall Exclusions |
| 12 Canada | 74\% | Students from the provinces of British Columbia, Newfoundland, Ontario, and Quebec | 2.9\% | 3.6\% | 6.5\% |
| Chinese Taipei | 100\% |  | 0.0\% | 0.9\% | 0.9\% |
| Denmark | 100\% |  | 1.9\% | 8.0\% | 9.9\% |
| ${ }^{1}$ Georgia | 96\% | Students taught in Georgian and Azerbaijani | 0.8\% | 3.0\% | 3.8\% |
| Ireland | 100\% |  | 2.3\% | 1.4\% | 3.7\% |
| ${ }^{3}$ Israel | 100\% |  | 21.0\% | 3.9\% | 24.9\% |
| Italy | 100\% |  | 0.8\% | 4.1\% | 4.9\% |
| Norway (5) | 100\% |  | 2.0\% | 3.4\% | 5.3\% |
| ${ }^{2}$ Portugal | 100\% |  | 1.0\% | 6.5\% | 7.5\% |
| ${ }^{3}$ Singapore | 100\% |  | 10.6\% | 0.5\% | 11.1\% |
| Slovenia | 100\% |  | 1.5\% | 0.8\% | 2.4\% |
| Sweden | 100\% |  | 1.3\% | 3.9\% | 5.2\% |
| United Arab Emirates | 100\% |  | 2.0\% | 1.3\% | 3.3\% |
| United States | 100\% |  | 0.0\% | 4.9\% | 4.9\% |
| Benchmarking Participants |  |  |  |  |  |
| Abu Dhabi, UAE | 100\% |  | 2.2\% | 1.7\% | 3.9\% |
| Dubai, UAE | 100\% |  | 1.6\% | 1.6\% | 3.2\% |

National Target Population does not include all of the International Target Population.
2 National Defined Population covers $90 \%$ to $95 \%$ of National Target Population.
3 National Defined Population covers less than $90 \%$ of National Target Population (but at least 77\%).

## School-Level and Student-Level Exclusions

Within the national target population, it was possible to exclude certain types of schools and students. For the most part, school-level exclusions consisted of schools for students with disabilities and very small or remote schools. Occasionally, schools were excluded for other reasons, as documented in Appendix 5A Characteristics of National Samples. Student-level, or within-school, exclusions generally consisted of students with disabilities or students who could not be assessed in the language of the test. For most PIRLS participants, the overall percentage of excluded students (combining school and within-school levels) was 5 percent or less after rounding. However, Austria, Belgium (French), Canada, Denmark, Hong Kong SAR, Latvia, Malta, and Portugal, as well as

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the benchmarking participants Denmark (3) and Madrid (Spain), had exclusions accounting for between 5 and 10 percent of the desired population. Israel and Singapore had exclusions exceeding 10 percent. Because the same students were sampled for ePIRLS in most countries, the ePIRLS overall exclusion rates were similar to those of PIRLS. Participants with an overall exclusion rate of more than 5 percent were annotated in the international reports.

## Target Population Size

Exhibits 5.4 and 5.5 show the number of schools and students in each participant's target population ${ }^{2}$ and sample for PIRLS and ePIRLS, respectively, as well as an estimate of the student population size based on the sample data. The target population figures were derived from the sampling frame used to select the PIRLS 2016 samples, and the sample figures were based on the number of sampled schools and students that participated in the assessments. The sample figures were computed using sampling weights (explained in more detail in Chapter 3). The student population size was based on the sampling frame and did not take into account the portion of the population excluded within sampled schools nor did it account for changes in the population between the date when the information in the sampling frame was collected and the date of the PIRLS 2016 data collection-usually a 2 -year interval. Nevertheless, a comparison between the two estimates of population size can be seen as a validity check on the sampling procedure. In most cases, the population size estimated from the sample closely matched the population size from the sampling frame.

Exhibit 5.4: Population and Sample Sizes - PIRLS 2016

| Country | Population |  | Sample |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Schools | Students | Schools | Students | Student <br> Population Size Estimated from Sample |
| Australia | 6,530 | 275,099 | 286 | 6,341 | 287,196 |
| Austria | 3,020 | 81,005 | 150 | 4,360 | 81,450 |
| Azerbaijan | 3,709 | 122,286 | 170 | 5,994 | 128,877 |
| Bahrain | 183 | 17,769 | 182 | 5,480 | 17,493 |
| Belgium (Flemish) | 2,421 | 70,315 | 148 | 5,198 | 70,366 |
| Belgium (French) | 1,662 | 50,813 | 158 | 4,623 | 53,772 |
| Bulgaria | 1,752 | 62,074 | 153 | 4,281 | 60,411 |
| Canada | 9,377 | 344,011 | 926 | 18,245 | 342,617 |
| Chile | 6,012 | 228,629 | 154 | 4,294 | 230,972 |
| Chinese Taipei | 2,667 | 201,779 | 150 | 4,326 | 199,501 |
| Czech Republic | 3,440 | 102,460 | 157 | 5,537 | 99,938 |
| Denmark | 1,649 | 66,075 | 185 | 3,508 | 60,829 |
| Egypt | 16,401 | 1,610,893 | 160 | 6,957 | 1,543,299 |
| England | 14,946 | 597,669 | 170 | 5,095 | 588,313 |
| Finland | 2,237 | 58,254 | 151 | 4,896 | 55,611 |
| France | 31,577 | 776,184 | 163 | 4,767 | 787,106 |
| Georgia | 1,989 | 43,331 | 200 | 5,741 | 43,214 |
| Germany | 17,901 | 719,596 | 208 | 3,959 | 684,064 |
| Hong Kong SAR | 507 | 47,404 | 138 | 3,349 | 50,804 |
| Hungary | 2,796 | 91,826 | 149 | 4,623 | 90,647 |
| Iran, Islamic Rep. of (Combined) | 36,817 | 1,120,197 | 271 | 8,766 | 1,202,181 |
| Literacy | 36,817 | 1,120,197 | 271 | 4,381 | 1,202,181 |
| PIRLS | 36,817 | 1,120,197 | 271 | 4,385 | 1,202,181 |
| Ireland | 2,719 | 62,807 | 148 | 4,607 | 62,101 |
| Israel | 1,696 | 110,408 | 159 | 4,041 | 108,461 |
| Italy | 6,940 | 565,199 | 149 | 3,940 | 544,538 |
| Kazakhstan | 6,066 | 258,530 | 172 | 4,925 | 253,209 |
| Kuwait | 375 | 48,346 | 177 | 4,609 | 47,299 |
| Latvia | 649 | 18,515 | 150 | 4,157 | 18,478 |
| Lithuania | 827 | 25,969 | 195 | 4,317 | 25,062 |
| Macao SAR | 57 | 4,217 | 57 | 4,059 | 4,244 |
| Malta | 97 | 4,055 | 95 | 3,647 | 4,057 |

Exhibit 5.4: Population and Sample Sizes - PIRLS 2016 (Continued)

| Country | Population |  | Sample |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Schools | Students | Schools | Students | Student <br> Population Size Estimated from Sample |
| Morocco (Combined) | 19,216 | 649,390 | 360 | 10,942 | 664,737 |
| Literacy | 19,216 | 649,390 | 360 | 5,453 | 664,737 |
| PIRLS | 19,216 | 649,390 | 360 | 5,489 | 664,737 |
| Netherlands | 6,361 | 179,849 | 132 | 4,206 | 168,482 |
| New Zealand | 1,813 | 57,715 | 188 | 5,646 | 58,169 |
| Northern Ireland | 765 | 21,908 | 134 | 3,693 | 22,306 |
| Norway (5) | 1,991 | 59,159 | 150 | 4,232 | 58,583 |
| Oman | 662 | 54,975 | 306 | 9,234 | 52,512 |
| Poland | 11,473 | 368,742 | 148 | 4,413 | 333,001 |
| Portugal | 1,228 | 101,911 | 218 | 4,642 | 99,852 |
| Qatar | 208 | 19,690 | 216 | 9,077 | 19,791 |
| Russian Federation | 33,639 | 1,322,675 | 206 | 4,577 | 1,342,153 |
| Saudi Arabia | 11,708 | 438,538 | 202 | 4,741 | 433,654 |
| Singapore | 177 | 39,143 | 177 | 6,488 | 39,355 |
| Slovak Republic | 1,991 | 50,300 | 220 | 5,451 | 47,901 |
| Slovenia | 729 | 18,207 | 160 | 4,499 | 19,659 |
| South Africa | 16,896 | 944,645 | 293 | 12,810 | 983,873 |
| Spain | 12,730 | 473,955 | 629 | 14,595 | 472,876 |
| Sweden | 3,289 | 104,640 | 154 | 4,525 | 109,181 |
| Trinidad and Tobago | 511 | 18,956 | 151 | 4,177 | 18,333 |
| United Arab Emirates | 721 | 75,340 | 468 | 16,471 | 76,604 |
| United States | 69,235 | 3,989,251 | 158 | 4,425 | 3,752,434 |

Benchmarking Participants

| Buenos Aires, Argentina | 876 | 38,886 |  | 150 | 4,382 | 41,023 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Ontario, Canada | 3,626 | 140,193 |  | 188 | 4,270 | 136,781 |
| Quebec, Canada | 1,726 | 75,398 |  | 127 | 3,179 | 74,775 |
| Denmark (3) | 1,649 | 66,075 |  | 186 | 3,600 | 62,709 |
| Norway (4) | 2,018 | 59,646 |  | 154 | 4,354 | 60,180 |
| Moscow City, Russian Fed. | 740 | 87,790 |  | 150 | 4,289 | 89,266 |
| Eng/Afr/Zulu - RSA (5) | 8,781 | 525,074 |  | 125 | 5,282 | 483,437 |
| Andalusia, Spain | 2,443 | 97,000 |  | 150 | 4,169 | 97,750 |
| Madrid, Spain | 1,293 | 66,613 |  | 168 | 3,794 | 65,346 |
| Abu Dhabi, UAE | 278 | 26,871 |  | 151 | 4,188 | 27,825 |
| Dubai, UAE | 161 | 20,920 |  | 174 | 7,859 | 21,867 |

Exhibit 5.5: Population and Sample Sizes - ePIRLS 2016

| Country | Population |  | Sample |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Schools | Students | Schools | Students | Student <br> Population Size Estimated from Sample |
| Canada | 9,902 | 262,540 | 474 | 8,871 | 264,737 |
| Chinese Taipei | 2,667 | 201,779 | 150 | 4,299 | 199,501 |
| Denmark | 1,649 | 66,075 | 142 | 2,506 | 60,103 |
| Georgia | 1,989 | 43,331 | 199 | 5,557 | 43,210 |
| Ireland | 2,719 | 62,807 | 147 | 2,473 | 62,393 |
| Israel | 1,696 | 110,408 | 157 | 3,798 | 108,348 |
| Italy | 6,940 | 565,199 | 148 | 3,767 | 544,871 |
| Norway (5) | 1,991 | 59,159 | 142 | 3,610 | 58,862 |
| Portugal | 1,228 | 101,911 | 218 | 4,558 | 99,852 |
| Singapore | 177 | 39,143 | 177 | 6,320 | 39,355 |
| Slovenia | 729 | 18,207 | 159 | 4,303 | 19,668 |
| Sweden | 3,289 | 104,640 | 144 | 3,879 | 109,160 |
| United Arab Emirates | 721 | 75,340 | 465 | 15,566 | 76,653 |
| United States | 69,235 | 3,989,251 | 153 | 4,090 | 3,765,069 |
| Benchmarking Participants |  |  |  |  |  |
| Abu Dhabi, UAE | 278 | 26,871 | 150 | 3,980 | 27,869 |
| Dubai, UAE | 161 | 20,920 | 174 | 7,741 | 21,895 |

## Meeting PIRLS 2016 Standards for Sampling Participation

PIRLS 2016 participants understood that the goal for sampling participation was 100 percent for all sampled schools, classrooms, and students. Guidelines for reporting achievement data for participants that secure less than full participation were modeled after IEA's previous PIRLS assessment cycles. As summarized below in Exhibit 5.6, countries were assigned to one of three categories on the basis of their sampling participation. Countries in Category 1 were considered to have met all PIRLS 2016 sampling requirements and to have acceptable participation rates. Countries in Category 2 met the participation requirements only after including replacement schools. Countries that failed to meet the participation requirements even with the use of replacement schools were assigned to Category 3. One of the main goals for quality data in PIRLS 2016 was to have as many countries as possible achieve Category 1 status.

Exhibit 5.6: Categories of Sampling Participation


Exhibits 5.7 and 5.8 present the weighted school, classroom, student, and overall participation rates in the PIRLS and ePIRLS assessments, and Exhibits 5.9 and 5.10 present the unweighted participation rates. Almost all PIRLS participants had excellent participation rates and were classified as Category 1. Hong Kong SAR, the Netherlands, and the United States achieved the minimum acceptable participation rate only after including replacement schools, and therefore their results were annotated with the symbol $\dagger$ in the achievement exhibits of the PIRLS international results report (Category 2). Despite efforts to secure full participation, the benchmarking

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participant Quebec, Canada, did not meet the required sampling participation rate even with the use of replacement schools and was annotated with the symbol $\equiv$ in the achievement exhibits of the report (Category 3).

Similarly, nearly all ePIRLS participants had very good participation rates and were classified as Category 1. The United States achieved the minimum acceptable participation rate only after including replacement schools and were annotated with the symbol $\dagger$ in the achievement exhibits of the ePIRLS report (Category 2). In spite of efforts to achieve full participation, Denmark did not meet the required sampling participation rate in ePIRLS even with the replacement schools and their achievement results were annotated with the symbol $\equiv$ in the report (Category 3 ).

Exhibit 5.7: Participation Rates (Weighted) - PIRLS 2016

| Country | School Participation |  | Class Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After Replacement |  |  | Before Replacement | After Replacement |
| Australia | 97\% | 100\% | 100\% | 95\% | 92\% | 94\% |
| Austria | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Azerbaijan | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Bahrain | 99\% | 99\% | 100\% | 98\% | 98\% | 98\% |
| Belgium (Flemish) | 79\% | 94\% | 100\% | 98\% | 77\% | 92\% |
| Belgium (French) | 96\% | 100\% | 100\% | 97\% | 93\% | 97\% |
| Bulgaria | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Canada | 81\% | 90\% | 100\% | 96\% | 77\% | 86\% |
| Chile | 92\% | 100\% | 100\% | 96\% | 88\% | 96\% |
| Chinese Taipei | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Czech Republic | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Denmark | 87\% | 96\% | 100\% | 94\% | 82\% | 90\% |
| Egypt | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| England | 99\% | 100\% | 100\% | 96\% | 95\% | 96\% |
| Finland | 98\% | 99\% | 100\% | 96\% | 95\% | 96\% |
| France | 99\% | 100\% | 100\% | 96\% | 95\% | 96\% |
| Georgia | 98\% | 99\% | 100\% | 97\% | 95\% | 96\% |
| Germany | 97\% | 100\% | 100\% | 96\% | 93\% | 95\% |
| ${ }^{\dagger}$ Hong Kong SAR | 74\% | 91\% | 100\% | 87\% | 64\% | 79\% |
| Hungary | 98\% | 100\% | 100\% | 97\% | 95\% | 97\% |
| Iran, Islamic Rep. of (Combined) | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Literacy | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| PIRLS | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Ireland | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Israel | 98\% | 99\% | 100\% | 95\% | 93\% | 94\% |
| Italy | 89\% | 99\% | 100\% | 96\% | 85\% | 95\% |
| Kazakhstan | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Kuwait | 98\% | 98\% | 100\% | 93\% | 91\% | 91\% |
| Latvia | 95\% | 97\% | 100\% | 94\% | 89\% | 91\% |
| Lithuania | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Macao SAR | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Malta | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Morocco (Combined) | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Literacy | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| PIRLS | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |

PIRLS guidelines for sampling participation: The minimum acceptable participation rates were 85 percent of both schools and students, or a combined rate (the product of school and student participation) of 75 percent. Participants not meeting these guidelines were annotated as follows:
$\dagger$ Met guidelines for sample participation rates only after replacement schools were included.
$\ddagger$ Nearly satisfied guidelines for sample participation rates after replacement schools were included.
三 Did not satisfy guidelines for sample participation rates.

Exhibit 5.7: Participation Rates (Weighted) - PIRLS 2016 (Continued)

| Country | School Participation |  | Class Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After Replacement |  |  | Before Replacement | After Replacement |
| ${ }^{+}$Netherlands | 69\% | 90\% | 100\% | 96\% | 66\% | 86\% |
| New Zealand | 85\% | 97\% | 100\% | 96\% | 81\% | 92\% |
| Northern Ireland | 84\% | 88\% | 100\% | 96\% | 81\% | 84\% |
| Norway (5) | 95\% | 99\% | 100\% | 96\% | 91\% | 95\% |
| Oman | 99\% | 100\% | 100\% | 99\% | 98\% | 98\% |
| Poland | 95\% | 99\% | 100\% | 91\% | 86\% | 90\% |
| Portugal | 97\% | 99\% | 100\% | 94\% | 91\% | 93\% |
| Qatar | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| Russian Federation | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Saudi Arabia | 92\% | 100\% | 100\% | 96\% | 88\% | 96\% |
| Singapore | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| Slovak Republic | 94\% | 100\% | 100\% | 97\% | 92\% | 97\% |
| Slovenia | 94\% | 94\% | 100\% | 96\% | 90\% | 90\% |
| South Africa | 92\% | 97\% | 100\% | 96\% | 88\% | 94\% |
| Spain | 99\% | 100\% | 100\% | 97\% | 95\% | 97\% |
| Sweden | 99\% | 100\% | 100\% | 95\% | 94\% | 95\% |
| Trinidad and Tobago | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| United Arab Emirates | 98\% | 99\% | 100\% | 96\% | 95\% | 95\% |
| ${ }^{\dagger}$ United States | 75\% | 92\% | 100\% | 94\% | 71\% | 86\% |

Benchmarking Participants

| Buenos Aires, Argentina | $88 \%$ | $100 \%$ | $100 \%$ | $92 \%$ | $81 \%$ | $92 \%$ |
| :--- | ---: | ---: | ---: | ---: | ---: | :--- |
| Ontario, Canada | $96 \%$ | $97 \%$ | $100 \%$ | $96 \%$ | $92 \%$ | $93 \%$ |
| $\equiv$ Quebec, Canada | $39 \%$ | $67 \%$ | $99 \%$ | $96 \%$ | $37 \%$ | $64 \%$ |
| Denmark (3) | $88 \%$ | $97 \%$ | $100 \%$ | $95 \%$ | $83 \%$ | $92 \%$ |
| Norway (4) | $95 \%$ | $99 \%$ | $100 \%$ | $96 \%$ | $91 \%$ | $95 \%$ |
| Moscow City, Russian Fed. | $100 \%$ | $100 \%$ | $100 \%$ | $97 \%$ | $97 \%$ | $97 \%$ |
| Eng/Afr/Zulu - RSA (5) | $84 \%$ | $89 \%$ | $100 \%$ | $96 \%$ | $81 \%$ | $86 \%$ |
| Andalusia, Spain | $99 \%$ | $100 \%$ | $100 \%$ | $96 \%$ | $96 \%$ | $96 \%$ |
| Madrid, Spain | $100 \%$ | $100 \%$ | $100 \%$ | $97 \%$ | $97 \%$ | $97 \%$ |
| Abu Dhabi, UAE | $100 \%$ | $100 \%$ | $100 \%$ | $96 \%$ | $96 \%$ | $96 \%$ |
| Dubai, UAE | $99 \%$ | $99 \%$ | $100 \%$ | $96 \%$ | $95 \%$ | $95 \%$ |

Exhibit 5.8: Participation Rates (Weighted) - ePIRLS 2016

| Country | School Participation |  | Class Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After <br> Replacement |  |  | Before Replacement | After Replacement |
| Canada | 79\% | 85\% | 100\% | 93\% | 74\% | 79\% |
| Chinese Taipei | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| $\equiv$ Denmark | 67\% | 74\% | 100\% | 87\% | 58\% | 64\% |
| Georgia | 97\% | 99\% | 100\% | 95\% | 92\% | 94\% |
| Ireland | 99\% | 99\% | 100\% | 91\% | 91\% | 91\% |
| Israel | 97\% | 98\% | 100\% | 91\% | 88\% | 89\% |
| Italy | 89\% | 99\% | 100\% | 92\% | 82\% | 91\% |
| Norway (5) | 91\% | 93\% | 99\% | 88\% | 79\% | 81\% |
| Portugal | 97\% | 99\% | 100\% | 92\% | 90\% | 91\% |
| Singapore | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Slovenia | 94\% | 94\% | 99\% | 93\% | 86\% | 86\% |
| Sweden | 93\% | 93\% | 99\% | 90\% | 83\% | 83\% |
| United Arab Emirates | 98\% | 98\% | 100\% | 92\% | 90\% | 90\% |
| ${ }^{+}$United States | 74\% | 89\% | 100\% | 90\% | 67\% | 80\% |

Benchmarking Participants

| Abu Dhabi, UAE | $99 \%$ | $99 \%$ | $100 \%$ | $92 \%$ | $91 \%$ | $91 \%$ |
| :--- | ---: | :--- | ---: | :--- | :--- | :--- |
| Dubai, UAE | $99 \%$ | $99 \%$ | $99 \%$ | $92 \%$ | $91 \%$ | $91 \%$ |

PIRLS guidelines for sampling participation: The minimum acceptable participation rates were 85 percent of both schools and students, or a combined rate (the product of school and student participation) of 75 percent. Participants not meeting these guidelines were annotated as follows:
† Met guidelines for sample participation rates only after replacement schools were included.
$\ddagger$ Nearly satisfied guidelines for sample participation rates after replacement schools were included.
三 Did not satisfy guidelines for sample participation rates.

Exhibit 5.9: Participation Rates (Unweighted) - PIRLS 2016

| Country | School Participation |  | Class <br> Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After Replacement |  |  | Before Replacement | After Replacement |
| Australia | 98\% | 100\% | 97\% | 94\% | 89\% | 91\% |
| Austria | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Azerbaijan | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Bahrain | 99\% | 99\% | 100\% | 98\% | 98\% | 98\% |
| Belgium (Flemish) | 79\% | 94\% | 100\% | 98\% | 77\% | 92\% |
| Belgium (French) | 96\% | 100\% | 100\% | 97\% | 93\% | 97\% |
| Bulgaria | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Canada | 87\% | 93\% | 100\% | 96\% | 83\% | 89\% |
| Chile | 90\% | 100\% | 100\% | 96\% | 86\% | 96\% |
| Chinese Taipei | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Czech Republic | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Denmark | 89\% | 97\% | 100\% | 94\% | 83\% | 91\% |
| Egypt | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| England | 99\% | 100\% | 100\% | 96\% | 95\% | 96\% |
| Finland | 98\% | 99\% | 100\% | 96\% | 94\% | 96\% |
| France | 99\% | 100\% | 100\% | 96\% | 95\% | 96\% |
| Georgia | 99\% | 100\% | 100\% | 97\% | 95\% | 96\% |
| Germany | 98\% | 100\% | 100\% | 96\% | 94\% | 96\% |
| Hong Kong SAR | 75\% | 91\% | 100\% | 86\% | 65\% | 78\% |
| Hungary | 98\% | 100\% | 100\% | 97\% | 95\% | 97\% |
| Iran, Islamic Rep. of (Combined) | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Literacy | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| PIRLS | 100\% | 100\% | 100\% | 99\% | 99\% | 99\% |
| Ireland | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Israel | 98\% | 99\% | 100\% | 95\% | 93\% | 95\% |
| Italy | 89\% | 99\% | 100\% | 96\% | 85\% | 95\% |
| Kazakhstan | 99\% | 100\% | 100\% | 99\% | 98\% | 99\% |
| Kuwait | 98\% | 98\% | 100\% | 92\% | 90\% | 90\% |
| Latvia | 94\% | 97\% | 100\% | 93\% | 87\% | 90\% |
| Lithuania | 100\% | 100\% | 100\% | 95\% | 95\% | 95\% |
| Macao SAR | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Malta | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| Morocco (Combined) | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Literacy | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| PIRLS | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Netherlands | 68\% | 89\% | 100\% | 96\% | 65\% | 85\% |

Exhibit 5.9: Participation Rates (Unweighted) - PIRLS 2016 (Continued)

| Country | School Participation |  | Class Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After Replacement |  |  | Before Replacement | After Replacement |
| New Zealand | 84\% | 95\% | 100\% | 95\% | 80\% | 90\% |
| Northern Ireland | 85\% | 88\% | 100\% | 95\% | 81\% | 84\% |
| Norway (5) | 95\% | 99\% | 100\% | 96\% | 92\% | 95\% |
| Oman | 99\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Poland | 95\% | 99\% | 100\% | 90\% | 85\% | 89\% |
| Portugal | 95\% | 99\% | 100\% | 94\% | 89\% | 92\% |
| Qatar | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| Russian Federation | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Saudi Arabia | 92\% | 100\% | 100\% | 95\% | 87\% | 95\% |
| Singapore | 100\% | 100\% | 100\% | 97\% | 97\% | 97\% |
| Slovak Republic | 95\% | 100\% | 100\% | 97\% | 92\% | 97\% |
| Slovenia | 94\% | 94\% | 100\% | 96\% | 91\% | 91\% |
| South Africa | 93\% | 97\% | 100\% | 96\% | 90\% | 93\% |
| Spain | 99\% | 100\% | 100\% | 97\% | 96\% | 97\% |
| Sweden | 99\% | 100\% | 100\% | 95\% | 94\% | 95\% |
| Trinidad and Tobago | 100\% | 100\% | 100\% | 96\% | 96\% | 96\% |
| United Arab Emirates | 98\% | 99\% | 100\% | 97\% | 95\% | 95\% |
| United States | 76\% | 92\% | 100\% | 94\% | 71\% | 86\% |

Benchmarking Participants

| Buenos Aires, Argentina | $87 \%$ | $100 \%$ | $100 \%$ | $92 \%$ | $80 \%$ | $92 \%$ |
| :--- | ---: | ---: | ---: | ---: | ---: | :--- |
| Ontario, Canada | $95 \%$ | $96 \%$ | $100 \%$ | $96 \%$ | $91 \%$ | $92 \%$ |
| Quebec, Canada | $51 \%$ | $73 \%$ | $99 \%$ | $96 \%$ | $48 \%$ | $69 \%$ |
| Denmark (3) | $89 \%$ | $97 \%$ | $100 \%$ | $95 \%$ | $84 \%$ | $92 \%$ |
| Norway (4) | $95 \%$ | $99 \%$ | $100 \%$ | $96 \%$ | $91 \%$ | $95 \%$ |
| Moscow City, Russian Fed. | $100 \%$ | $100 \%$ | $100 \%$ | $97 \%$ | $97 \%$ | $97 \%$ |
| Eng/Afr/Zulu - RSA (5) | $84 \%$ | $90 \%$ | $100 \%$ | $96 \%$ | $81 \%$ | $87 \%$ |
| Andalusia, Spain | $99 \%$ | $100 \%$ | $100 \%$ | $97 \%$ | $95 \%$ | $97 \%$ |
| Madrid, Spain | $100 \%$ | $100 \%$ | $100 \%$ | $97 \%$ | $97 \%$ | $97 \%$ |
| Abu Dhabi, UAE | $100 \%$ | $100 \%$ | $100 \%$ | $96 \%$ | $96 \%$ | $96 \%$ |
| Dubai, UAE | $99 \%$ | $99 \%$ | $100 \%$ | $96 \%$ | $96 \%$ | $96 \%$ |

Exhibit 5.10: Participation Rates (Unweighted) - ePIRLS 2016

| Country | School Participation |  | Class Participation | Student Participation | Overall Participation |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Before Replacement | After Replacement |  |  | Before Replacement | After Replacement |
| Canada | 93\% | 94\% | 100\% | 91\% | 85\% | 86\% |
| Chinese Taipei | 100\% | 100\% | 100\% | 98\% | 98\% | 98\% |
| Denmark | 69\% | 74\% | 100\% | 87\% | 60\% | 65\% |
| Georgia | 98\% | 99\% | 100\% | 94\% | 93\% | 93\% |
| Ireland | 99\% | 99\% | 100\% | 91\% | 91\% | 91\% |
| Israel | 97\% | 98\% | 100\% | 91\% | 88\% | 89\% |
| Italy | 89\% | 99\% | 100\% | 92\% | 81\% | 90\% |
| Norway (5) | 91\% | 93\% | 99\% | 88\% | 79\% | 81\% |
| Portugal | 95\% | 99\% | 100\% | 92\% | 88\% | 91\% |
| Singapore | 100\% | 100\% | 100\% | 94\% | 94\% | 94\% |
| Slovenia | 94\% | 94\% | 99\% | 93\% | 86\% | 86\% |
| Sweden | 94\% | 94\% | 98\% | 90\% | 82\% | 82\% |
| United Arab Emirates | 98\% | 98\% | 99\% | 92\% | 90\% | 90\% |
| United States | 74\% | 89\% | 100\% | 90\% | 67\% | 80\% |

Benchmarking Participants

| Abu Dhabi, UAE | $99 \%$ | $99 \%$ | $99 \%$ | $92 \%$ | $91 \%$ | $91 \%$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Dubai, UAE | $99 \%$ | $99 \%$ | $99 \%$ | $92 \%$ | $91 \%$ | $91 \%$ |

Exhibits 5.11 and 5.12 show the achieved sample sizes in terms of schools for each of the participants in the PIRLS and ePIRLS assessments, respectively, and Exhibits 5.13 and 5.14 show the achieved sample sizes on these assessments in terms of students.

Exhibit 5.11: School Sample Sizes - PIRLS 2016

| Country | Number of Schools in Original Sample | Number of Eligible Schools in Original Sample | Number of Schools in Original Sample that Participated | Number of Replacement Schools that Participated | Total Number of Schools that Participated |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Australia | 286 | 286 | 281 | 5 | 286 |
| Austria | 152 | 150 | 150 | 0 | 150 |
| Azerbaijan | 170 | 170 | 170 | 0 | 170 |
| Bahrain | 184 | 183 | 182 | 0 | 182 |
| Belgium (Flemish) | 160 | 157 | 124 | 24 | 148 |
| Belgium (French) | 158 | 158 | 152 | 6 | 158 |
| Bulgaria | 154 | 153 | 153 | 0 | 153 |
| Canada | 1,020 | 998 | 872 | 54 | 926 |
| Chile | 154 | 154 | 139 | 15 | 154 |
| Chinese Taipei | 150 | 150 | 150 | 0 | 150 |
| Czech Republic | 157 | 157 | 157 | 0 | 157 |
| Denmark | 198 | 191 | 170 | 15 | 185 |
| Egypt | 160 | 160 | 160 | 0 | 160 |
| England | 171 | 170 | 168 | 2 | 170 |
| Finland | 159 | 152 | 149 | 2 | 151 |
| France | 166 | 163 | 161 | 2 | 163 |
| Georgia | 201 | 201 | 198 | 2 | 200 |
| Germany | 210 | 209 | 204 | 4 | 208 |
| Hong Kong SAR | 152 | 151 | 114 | 24 | 138 |
| Hungary | 154 | 149 | 146 | 3 | 149 |
| Iran, Islamic Rep. of | 274 | 271 | 271 | 0 | 271 |
| Ireland | 150 | 148 | 148 | 0 | 148 |
| Israel | 160 | 160 | 157 | 2 | 159 |
| Italy | 150 | 150 | 134 | 15 | 149 |
| Kazakhstan | 174 | 172 | 171 | 1 | 172 |
| Kuwait | 187 | 181 | 177 | 0 | 177 |
| Latvia | 156 | 154 | 145 | 5 | 150 |
| Lithuania | 196 | 195 | 195 | 0 | 195 |
| Macao SAR | 57 | 57 | 57 | 0 | 57 |
| Malta | 97 | 95 | 95 | 0 | 95 |
| Morocco | 361 | 360 | 360 | 0 | 360 |
| Netherlands | 150 | 148 | 101 | 31 | 132 |
| New Zealand | 198 | 198 | 167 | 21 | 188 |
| Northern Ireland | 154 | 153 | 130 | 4 | 134 |

Exhibit 5.1 1: School Sample Sizes - PIRLS 2016 (Continued)

| Country | Number of <br> Schools in <br> Original <br> Sample | Number <br> of Eligible <br> Schools in <br> Original <br> Sample | Number of <br> Schools in <br> Original <br> Sample that <br> Participated | Number of <br> Replacement <br> Schools that <br> Participated | Total <br> Number of <br> Schools that <br> Participated |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Norway (5) | 153 | 152 | 145 | 5 | 150 |
| Oman | 308 | 307 | 305 | 1 | 306 |
| Poland | 150 | 149 | 141 | 7 | 148 |
| Portugal | 222 | 221 | 211 | 7 | 218 |
| Qatar | 218 | 216 | 216 | 0 | 216 |
| Russian Federation | 206 | 206 | 206 | 0 | 206 |
| Saudi Arabia | 208 | 202 | 185 | 17 | 202 |
| Singapore | 177 | 177 | 177 | 0 | 177 |
| Slovak Republic | 221 | 220 | 208 | 12 | 220 |
| Slovenia | 172 | 170 | 160 | 0 | 160 |
| South Africa | 304 | 302 | 282 | 11 | 293 |
| Spain | 630 | 629 | 625 | 4 | 629 |
| Sweden | 158 | 154 | 153 | 1 | 154 |
| Trinidad and Tobago | 152 | 151 | 151 | 0 | 151 |
| United Arab Emirates | 482 | 176 | 172 | 131 | 467 |
| United States |  |  | 27 | 158 |  |

Benchmarking Participants

| Buenos Aires, Argentina | 150 | 150 | 131 | 19 | 150 |
| :--- | :---: | :---: | :---: | ---: | :---: |
| Ontario, Canada | 198 | 196 | 186 | 2 | 188 |
| Quebec, Canada | 176 | 174 | 89 | 38 | 127 |
| Denmark (3) | 198 | 191 | 170 | 16 | 186 |
| Norway (4) | 155 | 155 | 147 | 7 | 154 |
| Moscow City, Russian Fed. | 150 | 150 | 150 | 0 | 150 |
| Eng/Afr/Zulu - RSA (5) | 152 | 139 | 117 | 8 | 125 |
| Andalusia, Spain | 150 | 150 | 148 | 2 | 150 |
| Madrid, Spain | 168 | 168 | 168 | 0 | 168 |
| Abu Dhabi, UAE | 153 | 151 | 151 | 0 | 151 |
| Dubai, UAE | 178 | 175 | 174 | 0 | 174 |

Exhibit 5.12: School Sample Sizes - ePIRLS 2016

| Country | Number of <br> Schools in <br> Original <br> Sample | Number <br> of Eligible <br> Schools in <br> Original <br> Sample | Number of <br> Schools in <br> Original <br> Sample that <br> Participated | Number of <br> Replacement <br> Schools that <br> Participated | Total <br> Number of <br> Schools that <br> Participated |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Canada | 507 | 503 | 467 | 7 | 474 |
| Chinese Taipei | 150 | 150 | 150 | 0 | 150 |
| Denmark | 198 | 191 | 132 | 10 | 142 |
| Georgia | 201 | 201 | 197 | 2 | 199 |
| Ireland | 150 | 148 | 147 | 0 | 147 |
| Israel | 160 | 160 | 155 | 2 | 157 |
| Italy | 153 | 150 | 133 | 15 | 148 |
| Norway (5) | 222 | 152 | 138 | 4 | 142 |
| Portugal | 177 | 221 | 211 | 7 | 218 |
| Singapore | 172 | 177 | 177 | 0 | 177 |
| Slovenia | 158 | 154 | 159 | 0 | 159 |
| Sweden | 482 | 475 | 464 | 1 | 144 |
| United Arab Emirates | 176 | 172 | 128 | 25 | 465 |
| United States |  |  | 153 |  |  |

Benchmarking Participants

| Abu Dhabi, UAE | 153 | 151 | 150 | 0 | 150 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Dubai, UAE | 178 | 175 | 174 | 0 | 174 |

Exhibit 5.13: Student Sample Sizes - PIRLS 2016

| Country | Within-school Student Participation (Weighted Percentage) | Number of Sampled Students in Participating Schools | Number of Students Withdrawn from Class/ School | Number of Students Excluded | Number of Eligible Students | Number of Students Absent | Number of Students Assessed |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Australia | 95\% | 7,064 | 168 | 155 | 6,741 | 400 | 6,341 |
| Austria | 98\% | 4,709 | 20 | 222 | 4,467 | 107 | 4,360 |
| Azerbaijan | 96\% | 6,361 | 113 | 0 | 6,248 | 254 | 5,994 |
| Bahrain | 98\% | 5,771 | 56 | 148 | 5,567 | 87 | 5,480 |
| Belgium (Flemish) | 98\% | 5,378 | 39 | 28 | 5,311 | 113 | 5,198 |
| Belgium (French) | 97\% | 4,841 | 8 | 64 | 4,769 | 146 | 4,623 |
| Bulgaria | 95\% | 4,677 | 75 | 108 | 4,494 | 213 | 4,281 |
| Canada | 96\% | 20,072 | 265 | 736 | 19,071 | 826 | 18,245 |
| Chile | 96\% | 4,648 | 73 | 85 | 4,490 | 196 | 4,294 |
| Chinese Taipei | 98\% | 4,471 | 39 | 38 | 4,394 | 68 | 4,326 |
| Czech Republic | 95\% | 5,939 | 78 | 35 | 5,826 | 289 | 5,537 |
| Denmark | 94\% | 4,091 | 68 | 278 | 3,745 | 237 | 3,508 |
| Egypt | 97\% | 7,321 | 150 | 0 | 7,171 | 214 | 6,957 |
| England | 96\% | 5,568 | 149 | 113 | 5,306 | 211 | 5,095 |
| Finland | 96\% | 5,178 | 52 | 42 | 5,084 | 188 | 4,896 |
| France | 96\% | 5,050 | 56 | 33 | 4,961 | 194 | 4,767 |
| Georgia | 97\% | 6,123 | 59 | 131 | 5,933 | 192 | 5,741 |
| Germany | 96\% | 4,279 | 58 | 102 | 4,119 | 160 | 3,959 |
| Hong Kong SAR | 87\% | 4,024 | 21 | 96 | 3,907 | 558 | 3,349 |
| Hungary | 97\% | 4,852 | 21 | 57 | 4,774 | 151 | 4,623 |
| Iran, Islamic Rep. of (Combined) | 99\% | 8,999 | 106 | 10 | 8,883 | 117 | 8,766 |
| Literacy | 99\% | 4,498 | 53 | 4 | 4,441 | 60 | 4,381 |
| PIRLS | 99\% | 4,501 | 53 | 6 | 4,442 | 57 | 4,385 |
| Ireland | 96\% | 4,881 | 30 | 44 | 4,807 | 200 | 4,607 |
| Israel | 95\% | 4,368 | 13 | 107 | 4,248 | 207 | 4,041 |
| Italy | 96\% | 4,309 | 22 | 166 | 4,121 | 181 | 3,940 |
| Kazakhstan | 99\% | 5,035 | 51 | 0 | 4,984 | 59 | 4,925 |
| Kuwait | 93\% | 5,082 | 66 | 14 | 5,002 | 393 | 4,609 |
| Latvia | 94\% | 4,636 | 21 | 134 | 4,481 | 324 | 4,157 |
| Lithuania | 95\% | 4,670 | 35 | 79 | 4,556 | 239 | 4,317 |
| Macao SAR | 98\% | 4,254 | 10 | 93 | 4,151 | 92 | 4,059 |
| Malta | 96\% | 4,022 | 6 | 223 | 3,793 | 146 | 3,647 |

Students attending a sampled class at the time the sample was chosen but leaving the class before the assessment was administered were classified as "withdrawn."
Students with a disability or language barrier that prevented them from participating in the assessment were classified as "excluded."
Students not present when the assessment was administered, and not subsequently assessed in a make-up session, were classified as "absent."

Exhibit 5.13: Student Sample Sizes - PIRLS 2016 (Continued)

| Within-school | Number of <br> Student | Number of <br> Sampled <br> Students |  |
| :---: | :---: | :---: | :---: |
| Participation | Students in <br> (Weighted <br> Participating | Wrom Clawn <br> from Clas/ <br> Percentage) | Schools |
| School |  |  |  |


| Number <br> of <br> Students <br> Excluded | Number <br> of <br> Eligible | Number <br> of <br> Students | Number <br> of <br> ofsents |
| :---: | :---: | :---: | :---: |
| Absents |  |  |  |


| Morocco (Combined) | $99 \%$ | 11,370 | 194 | 0 | 11,176 | 234 | 10,942 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| PIRLS | $99 \%$ | 5,680 | 94 | 0 | 5,586 | 133 | 5,453 |
| Netherlands | $99 \%$ | 5,690 | 100 | 0 | 5,590 | 101 | 5,489 |
| New Zealand | $96 \%$ | 4,446 | 42 | 15 | 4,389 | 183 | 4,206 |
| Northern Ireland | $96 \%$ | 6,128 | 77 | 119 | 5,932 | 286 | 5,646 |
| Norway (5) | $96 \%$ | 3,920 | 27 | 20 | 3,873 | 180 | 3,693 |
| Oman | $96 \%$ | 4,595 | 49 | 142 | 4,404 | 172 | 4,232 |
| Poland | $99 \%$ | 9,619 | 146 | 67 | 9,406 | 172 | 9,234 |
| Portugal | $91 \%$ | 5,069 | 43 | 125 | 4,901 | 488 | 4,413 |
| Qatar | $94 \%$ | 5,305 | 58 | 293 | 4,954 | 312 | 4,642 |
| Russian Federation | $97 \%$ | 9,730 | 182 | 205 | 9,343 | 266 | 9,077 |
| Saudi Arabia | $98 \%$ | 4,740 | 4 | 63 | 4,673 | 96 | 4,577 |
| Singapore | $96 \%$ | 5,044 | 37 | 23 | 4,984 | 243 | 4,741 |
| Slovak Republic | $97 \%$ | 6,719 | 29 | 0 | 6,690 | 202 | 6,488 |
| Slovenia | $97 \%$ | 5,869 | 207 | 41 | 5,621 | 170 | 5,451 |
| South Africa | $96 \%$ | 4,721 | 10 | 35 | 4,676 | 177 | 4,499 |
| Spain | $96 \%$ | 13,669 | 348 | 26 | 13,295 | 485 | 12,810 |
| Sweden | $97 \%$ | 15,634 | 55 | 520 | 15,059 | 464 | 14,595 |
| Trinidad and Tobago | $95 \%$ | 4,988 | 38 | 189 | 4,761 | 236 | 4,525 |
| United Arab Emirates | $96 \%$ | 17,381 | 5,506 | 108 | 50 | 4,348 | 171 |
| United States | $94 \%$ | 59 | 232 | 17,060 | 589 | 16,471 |  |
|  |  | 159 | 175 | 4,722 | 297 | 4,425 |  |

Benchmarking Participants

| Buenos Aires, Argentina | $92 \%$ | 4,843 | 46 | 43 | 4,754 | 372 | 4,382 |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| Ontario, Canada | $96 \%$ | 4,572 | 50 | 71 | 4,451 | 181 | 4,270 |
| Quebec, Canada | $96 \%$ | 3,396 | 17 | 59 | 3,320 | 141 | 3,179 |
| Denmark (3) | $95 \%$ | 4,120 | 60 | 261 | 3,799 | 199 | 3,600 |
| Norway (4) | $96 \%$ | 4,725 | 46 | 138 | 4,541 | 187 | 4,354 |
| Moscow City, Russian Fed. | $97 \%$ | 4,494 | 14 | 49 | 4,431 | 142 | 4,289 |
| Eng/Afr/Zulu - RSA (5) | $96 \%$ | 5,692 | 197 | 16 | 5,479 | 197 | 5,282 |
| Andalusia, Spain | $96 \%$ | 4,470 | 22 | 132 | 4,316 | 147 | 4,169 |
| Madrid, Spain | $97 \%$ | 4,050 | 16 | 127 | 3,907 | 113 | 3,794 |
| Abu Dhabi, UAE | $96 \%$ | 4,408 | 20 | 27 | 4,361 | 173 | 4,188 |
| Dubai, UAE | $96 \%$ | 8,356 | 50 | 148 | 8,158 | 299 | 7,859 |

Exhibit 5.14: Student Sample Sizes - ePIRLS 2016
$\left.\begin{array}{l|c|c|c|c|c|c|c}\text { Country } & \begin{array}{c}\text { Within-school } \\ \text { Student } \\ \text { Participation } \\ \text { (Weighted } \\ \text { Percentage) }\end{array} & \begin{array}{c}\text { Number of } \\ \text { Sampled } \\ \text { Students in } \\ \text { Participating } \\ \text { Schools }\end{array} & \begin{array}{c}\text { Number of } \\ \text { Students } \\ \text { Withdrawn } \\ \text { from Class } \\ \text { School }\end{array} & \begin{array}{c}\text { Number } \\ \text { of } \\ \text { Students } \\ \text { Excluded }\end{array} & \begin{array}{c}\text { Number } \\ \text { of } \\ \text { Eligible }\end{array} & \begin{array}{c}\text { Number } \\ \text { of } \\ \text { Students } \\ \text { Absent }\end{array} & \begin{array}{c}\text { Number } \\ \text { of }\end{array} \\ \text { Canadadents } \\ \text { Assessed }\end{array}\right]$

Students attending a sampled class at the time the sample was chosen but leaving the class before the assessment was administered were classified as "withdrawn." Students with a disability or language barrier that prevented them from participating in the assessment were classified as "excluded."

Students not present when the assessment was administered, and not subsequently assessed in a make-up session, were classified as "absent."
In schools with 21 or fewer 4th grade students, all PIRLS students were selected to participate in ePIRLS; in larger schools, a subset of PIRLS students was randomly selected.

## PIRLS 2016 Trends in Student Populations

Because a primary goal of the PIRLS 2016 assessment was to measure changes in students' reading achievement across assessment cycles, it is important to track any changes over time in population composition and coverage that might be related to student achievement. Exhibit 5.15 presents, for each country, trends across cycles (2016, 2011, 2006, and 2001) in four characteristics of the PIRLS assessment populations: number of years of formal schooling, average student age, percent of students in the national target population excluded from the assessment, and overall participation rates after using replacements. Most countries and benchmarking participants were very similar with regard to these characteristics across the four assessment cycles, although there have been changes in some countries in the age and grade structure of the assessed populations, in target population coverage, and in the exclusion rate.

The Russian Federation and Slovenia underwent structural changes in the age at which children enter schools that are reflected in their samples. In 2001, the Russian sample contained third grade students from some regions and fourth grade students from others, whereas all students were in the fourth grade by 2006. By 2011, Slovenia had completed the transition toward having all children begin school at an earlier age so that they all would have four years of primary schooling at the fourth grade instead of three years, as was the case in 2001.

National coverage of the international target population was generally comprehensive for most countries and has not changed across PIRLS assessments, with some exceptions. In 2011, Lithuania assessed only students receiving instruction in Lithuanian, and in 2016 Lithuania also assessed students receiving instruction in Russian and Polish. To ensure stable measurement of trends, the 2016 trend population for Lithuania (reported in the trend exhibits) included only students taught in Lithuanian, which represents 91 percent of the population assessed in 2016. Similarly, in 2011 Azerbaijan only tested students taught in Azerbaijani, and in 2016 Azerbaijan also tested students taught in Russian. Thus, the 2016 trend population for Azerbaijan included only students taught in Azerbaijani, representing 92 percent of the population assessed in 2016.

In general, the exclusion rates do not exceed the PIRLS 2016 guidelines of 5 percent, and have not changed very much across assessments for most countries. A few countries saw a decrease in their overall exclusion rate. From 2011 to 2016, Azerbaijan decreased its overall exclusion rate by over 5 percentage points by including students taught in Russian in the sample. Belgium (Flemish) reduced their overall exclusion rate by 5.5 percent from 2006 to 2016 by also assessing eligible students from special needs schools in 2016. Student exclusion rates were higher in 2016 than in 2011 by more than 1.5 percent in Bulgaria, Denmark, Germany, Malta, Portugal, and Singapore.

As noted by the footnotes beneath Exhibit 5.15, Austria's increased exclusions in 2016 resulted from more non-native language students within the student population, and Hong Kong SAR's increased exclusions resulted from excluding international schools and schools organized by the English Schools Foundation. Georgia excluded schools in South Ossetia and Abkhazia in both 2011 and 2016, and Singapore's increased exclusions resulted from increased enrollment in private schools. Exclusion and participation rates for South Africa in 2006 were calculated based on the entire fifth grade population in the country, whereas the exclusion rates for South Africa in 2016 were only based on students receiving instruction in English, Afrikaans, or Zulu.

Exhibit 5.15: Trends in Student Populations - PIRLS 2016

| Country | Years of Formal Schooling* |  |  |  | Average Age at Time of Testing |  |  |  | Overall Exclusion Rates |  |  |  | Overall Participation Rates <br> (After Replacement) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 |
| Australia | 4 | 4 |  |  | 10.0 | 10.0 |  |  | 4.8\% | 4.4\% |  |  | 94\% | 93\% |  |  |
| Austria | 4 | 4 | 4 |  | 10.3 | 10.3 | 10.3 |  | 5.6\% | 5.1\% | 5.1\% |  | 98\% | 98\% | 97\% |  |
| Azerbaijan | 4 | 4 |  |  | 10.1 | 10.2 |  |  | 2.1\% | 7.2\% |  |  | 96\% | 100\% |  |  |
| Belgium (Flemish) | 4 |  | 4 |  | 10.1 |  | 10.0 |  | 1.6\% |  | 7.1\% |  | 92\% |  | 91\% |  |
| Belgium (French) | 4 | 4 | 4 |  | 10.0 | 10.1 | 9.9 |  | 6.0\% | 5.6\% | 3.9\% |  | 97\% | 82\% | 95\% |  |
| Bulgaria | 4 | 4 | 4 | 4 | 10.8 | 10.7 | 10.9 | 10.9 | 4.3\% | 2.5\% | 6.4\% | 2.7\% | 95\% | 95\% | 94\% | 93\% |
| Canada | 4 | 4 |  |  | 9.9 | 9.9 |  |  | 7.5\% | 9.9\% |  |  | 86\% | 94\% |  |  |
| Chinese Taipei | 4 | 4 | 4 |  | 10.1 | 10.2 | 10.1 |  | 0.9\% | 1.4\% | 2.9\% |  | 98\% | 99\% | 99\% |  |
| Czech Republic | 4 | 4 |  | 4 | 10.3 | 10.4 |  | 10.5 | 3.4\% | 5.1\% |  | 5.0\% | 95\% | 94\% |  | 90\% |
| Denmark | 4 | 4 | 4 |  | 10.8 | 10.9 | 10.9 |  | 9.8\% | 7.3\% | 6.2\% |  | 90\% | 95\% | 96\% |  |
| England | 5 | 5 | 5 | 5 | 10.3 | 10.3 | 10.3 | 10.2 | 3.7\% | 2.4\% | 2.4\% | 5.7\% | 96\% | 82\% | 92\% | 82\% |
| Finland | 4 | 4 |  |  | 10.8 | 10.8 |  |  | 2.4\% | 3.1\% |  |  | 96\% | 95\% |  |  |
| France | 4 | 4 | 4 | 4 | 9.8 | 10.0 | 10.0 | 10.1 | 5.4\% | 5.2\% | 3.8\% | 5.3\% | 96\% | 97\% | 95\% | 94\% |
| Georgia | 4 | 4 | 4 |  | 9.7 | 10.0 | 10.1 |  | 3.8\% | 4.9\% | 7.3\% |  | 96\% | 96\% | 98\% |  |
| Germany | 4 | 4 | 4 | 4 | 10.3 | 10.4 | 10.5 | 10.5 | 4.2\% | 1.9\% | 0.7\% | 1.8\% | 95\% | 95\% | 92\% | 86\% |
| Hong Kong SAR | 4 | 4 | 4 | 4 | 9.9 | 10.1 | 10.0 | 10.2 | 10.1\% | 11.8\% | 3.9\% | 2.8\% | 79\% | 83\% | 97\% | 97\% |
| Hungary | 4 | 4 | 4 | 4 | 10.6 | 10.7 | 10.7 | 10.7 | 4.5\% | 4.2\% | 3.7\% | 2.1\% | 97\% | 96\% | 97\% | 95\% |
| Iran, Islamic Rep. of | 4 | 4 | 4 | 4 | 10.2 | 10.2 | 10.2 | 10.4 | 4.1\% | 4.5\% | 3.8\% | 0.5\% | 99\% | 99\% | 99\% | 98\% |
| Ireland | 4 | 4 |  |  | 10.5 | 10.3 |  |  | 3.1\% | 2.5\% |  |  | 96\% | 95\% |  |  |
| Israel | 4 | 4 |  |  | 10.0 | 10.1 |  |  | 24.9\% | 24.6\% |  |  | 94\% | 93\% |  |  |
| Italy | 4 | 4 | 4 | 4 | 9.7 | 9.7 | 9.7 | 9.9 | 4.9\% | 3.7\% | 5.3\% | 2.9\% | 95\% | 95\% | 97\% | 98\% |
| Latvia | 4 |  | 4 | 4 | 10.9 |  | 11.0 | 11.0 | 7.9\% |  | 4.7\% | 4.6\% | 91\% |  | 92\% | 89\% |
| Lithuania | 4 | 4 | 4 | 4 | 10.8 | 10.7 | 10.7 | 10.9 | 4.2\% | 5.6\% | 5.1\% | 3.8\% | 95\% | 94\% | 92\% | 83\% |
| Malta | 5 | 5 |  |  | 9.7 | 9.8 |  |  | 7.9\% | 4.1\% |  |  | 96\% | 94\% |  |  |
| Morocco | 4 | 4 |  |  | 10.2 | 10.5 |  |  | 1.7\% | 2.0\% |  |  | 99\% | 95\% |  |  |
| Netherlands | 4 | 4 | 4 | 4 | 10.1 | 10.2 | 10.3 | 10.3 | 3.1\% | 3.7\% | 3.6\% | 3.7\% | 86\% | 89\% | 90\% | 87\% |
| New Zealand | $\begin{gathered} 4.5- \\ 5.5 \end{gathered}$ | $\begin{gathered} 4.5- \\ 5.5 \\ \hline \end{gathered}$ | $\begin{gathered} \hline 4.5- \\ 5.5 \\ \hline \end{gathered}$ | $\begin{gathered} \hline 4.5- \\ 5.5 \\ \hline \end{gathered}$ | 10.1 | 10.1 | 10.0 | 10.1 | 3.7\% | 3.3\% | 5.3\% | 3.2\% | 92\% | 93\% | 95\% | 96\% |
| Northern Ireland | 4 | 4 |  |  | 10.4 | 10.4 |  |  | 3.0\% | 3.5\% |  |  | 84\% | 79\% |  |  |
| Norway (4) | 4 | 4 | 4 | 4 | 9.8 | 9.7 | 9.8 | 10.0 | 5.1\% | 4.2\% | 3.8\% | 2.8\% | 95\% | 71\% | 71\% | 82\% |
| Oman | 4 | 4 |  |  | 9.7 | 9.9 |  |  | 0.6\% | 1.5\% |  |  | 98\% | 96\% |  |  |
| Portugal | 4 | 4 |  |  | 9.8 | 10.0 |  |  | 7.5\% | 2.5\% |  |  | 93\% | 93\% |  |  |
| Qatar | 4 | 4 |  |  | 10.0 | 10.0 |  |  | 3.9\% | 6.2\% |  |  | 97\% | 99\% |  |  |
| Russian Federation | 4 | 4 | $\begin{gathered} 3 \text { or } \\ 4 \end{gathered}$ | $\begin{gathered} 3 \text { or } \\ 4 \end{gathered}$ | 10.8 | 10.8 | 10.8 | 10.3 | 4.1\% | 5.3\% | 5.9\% | 6.6\% | 98\% | 98\% | 97\% | 97\% |
| Saudi Arabia | 4 | 4 |  |  | 9.9 | 10.0 |  |  | 2.3\% | 1.6\% |  |  | 96\% | 98\% |  |  |

Exhibit 5.15: Trends in Student Populations - PIRLS 2016 (Continued)

| Country | Years of Formal Schooling* |  |  |  | Average Age at Time of Testing |  |  |  | Overall Exclusion Rates |  |  |  | Overall Participation Rates <br> (After Replacement) |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 | 2016 | 2011 | 2006 | 2001 |
| Singapore | 4 | 4 | 4 | 4 | 10.4 | 10.4 | 10.4 | 10.1 | 11.1\% | 6.3\% | 0.9\% | 0.1\% | 97\% | 96\% | 95\% | 98\% |
| Slovak Republic | 4 | 4 | 4 | 4 | 10.4 | 10.4 | 10.4 | 10.3 | 4.8\% | 4.6\% | 3.6\% | 2.0\% | 97\% | 96\% | 94\% | 96\% |
| Slovenia | 4 | 4 | $3 \text { or }$ | 3 | 9.9 | 9.9 | 9.9 | 9.8 | 2.4\% | 2.6\% | 0.8\% | 0.3\% | 90\% | 94\% | 93\% | 94\% |
| South Africa | 4 | 4 |  |  | 10.6 | 10.5 |  |  | 2.5\% | 3.0\% |  |  | 94\% | 95\% |  |  |
| Spain | 4 | 4 | 4 |  | 9.9 | 9.9 | 9.9 |  | 4.8\% | 5.4\% | 5.3\% |  | 97\% | 96\% | 97\% |  |
| Sweden | 4 | 4 | 4 | 4 | 10.7 | 10.7 | 10.9 | 10.8 | 5.2\% | 4.1\% | 3.9\% | 5.0\% | 95\% | 91\% | 96\% | 92\% |
| Trinidad and Tobago | 5 | 5 | 5 |  | 10.2 | 10.3 | 10.1 |  | 1.3\% | 0.9\% | 0.7\% |  | 96\% | 95\% | 94\% |  |
| United Arab Emirates | 4 | 4 |  |  | 9.8 | 9.8 |  |  | 3.3\% | 3.3\% |  |  | 95\% | 97\% |  |  |
| United States | 4 | 4 | 4 | 4 | 10.1 | 10.2 | 10.1 | 10.2 | 4.8\% | 7.2\% | 5.9\% | 5.3\% | 86\% | 81\% | 82\% | 83\% |

Benchmarking Participants

| Ontario, Canada | 4 | 4 | 4 | 4 | 9.8 | 9.9 | 9.8 | 9.9 | 4.1\% | 7.9\% | 8.3\% | 6.6\% | 93\% | 95\% | 87\% | 92\% |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Quebec, Canada | 4 | 4 | 4 | 4 | 10.1 | 10.1 | 10.1 | 10.2 | 5.1\% | 3.7\% | 3.6\% | 3.3\% | 64\% | 92\% | 81\% | 89\% |
| Eng/Afr/Zulu - RSA (5) | 5 |  | 5 |  | 11.6 |  | 11.7 |  | 1.1\% |  | 4.3\% |  | 86\% |  | 88\% |  |
| Andalusia, Spain | 4 | 4 |  |  | 9.8 | 9.9 |  |  | 4.2\% | 5.1\% |  |  | 96\% | 96\% |  |  |
| Abu Dhabi, UAE | 4 | 4 |  |  | 9.7 | 9.7 |  |  | 3.9\% | 2.7\% |  |  | 96\% | 96\% |  |  |
| Dubai, UAE | 4 | 4 |  |  | 9.9 | 9.9 |  |  | 3.2\% | 5.1\% |  |  | 95\% | 94\% |  |  |

* Represents years of schooling counting from the first year of ISCED Level 1.

An empty cell indicates a country did not participate in that year's assessment or did not have comparable data.
Trend results for Azerbaijan do not include students taught in Russian. Trend results for Lithuania do not include students taught in Polish or Russian.
Austria's increased exclusions in 2016 resulted from more non-native language speakers, probably due to the refugee crisis in Europe.
Canada's decreased exclusions in 2016 resulted from provinces formerly reported as exclusions to be considered not covered by the target population.
Georgian schools in South Ossetia and Abkhazia were excluded in 2011 and 2016 due to lack of access and absence of official statistics. Abkhazia refugee schools in other territories of Georgia were included in the sample frame.

Hong Kong SAR's increased exclusions in 2011 and 2016 resulted from excluding international schools and schools organized by the English Schools Foundation. These schools do not follow Hong Kong's central curriculum and medium of instruction.
Singapore's increased exclusions in 2016 resulted from increased enrollment in private schools, which predominantly serve international students and are different from public schools in many respects (e.g., different language of instruction and calendar year).
Republic of South Africa (RSA) tested 5th grade students receiving instruction in English (Eng), Afrikaans (Afr) and Zulu. Exclusion and participation rates from 2006 are for the entire country of South Africa.

## Appendix 5A: Characteristics of National Samples

## Australia

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size < 5), special needs schools, and very remote schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by state or territory (8)
- Implicit stratification by geographic location (metropolitan, provincial, remote), school type (Catholic, government, independent), and socioeconomic index (low socioeconomic status, high socioeconomic status)
- Prior to class sampling within schools, all indigenous students were grouped into a single classroom and were selected with certainty. The other classroom in the school was sampled using the standard procedure.
- Schools were oversampled at the state/territory level

Allocation of School Sample in Australia

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st <br> Replacements | 2nd Replacements |  |  |
| Australian Capital Territory | 30 | 0 | 29 | 1 | 0 | 0 | 0 |
| New South Wales | 45 | 0 | 42 | 2 | 1 | 0 | 0 |
| Northern Territory | 15 | 0 | 15 | 0 | 0 | 0 | 0 |
| Queensland | 45 | 0 | 45 | 0 | 0 | 0 | 0 |
| South Australia | 41 | 0 | 41 | 0 | 0 | 0 | 0 |
| Tasmania | 27 | 0 | 27 | 0 | 0 | 0 | 0 |
| Victoria | 44 | 0 | 43 | 1 | 0 | 0 | 0 |
| Western Australia | 39 | 0 | 39 | 0 | 0 | 0 | 0 |
| Total | 286 | 0 | 281 | 4 | 1 | 0 | 0 |

## Austria

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<3$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers
- Exclusion rates are higher than usual because of more non-native language speakers in classes. This higher proportion of non-native language speakers is probably due to the refugee crisis in Europe.


## Sample Design

- Explicit stratification by region (9)
- No implicit stratification
- Sampled two classrooms per school whenever possible


## Allocation of School Sample in Austria

Explicit Strata | Total |
| :---: | :---: |
| Sampled |
| Schools |

|  |
| :---: |
| Ineligible |
| Schools |

Participating Schools


1st 2nd
Replacements Replacements

Refusal Excluded Schools


| Burgenland | 8 | 1 | 7 | 0 | 0 | 0 |  |
| :--- | ---: | ---: | ---: | ---: | ---: | :--- | :--- |
| Kärnten | 10 | 0 | 10 | 0 | 0 | 0 |  |
| Niederösterreich | 28 | 0 | 28 | 0 | 0 | 0 |  |
| Oberösterreich | 26 | 0 | 26 | 0 | 0 | 0 |  |
| Salzburg | 10 | 0 | 10 | 0 | 0 | 0 |  |
| Steiermark | 20 | 0 | 20 | 0 | 0 | 0 |  |
| Tirol | 12 | 0 | 12 | 0 | 0 | 0 |  |
| Vorarlberg | 8 | 0 | 8 | 0 | 0 | 0 |  |
| Wien | $\mathbf{3 0}$ | 152 | $\mathbf{2}$ | $\mathbf{1 5 0}$ | $\mathbf{0}$ | $\mathbf{0}$ | 0 |
| Total | $\mathbf{1 5 9}$ |  | 0 | 0 | 0 |  |  |

## Azerbaijan

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and schools with English and Georgian instructional language
- No within-school exclusions
- Exclusion rates are biased downward due to exclusion of Armenian community schools in the Nagorno-Karabakh conflict zone and international schools for which no statistics were available


## Sample Design

- Explicit stratification by language of instruction (Azerbaijani only, Russian or Russian/ Azerbaijani), urbanization (urban, rural) within Azerbaijani only strata, and city (Baku, other) within urban stratum
- No implicit stratification
- Sampled two classrooms in schools with four or more classrooms

Allocation of School Sample in Azerbaijan

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Azerbaijani - Urban <br> - Baku | 24 | 0 | 24 | 0 | 0 | 0 | 0 |
| Azerbaijani - Urban <br> - Other cities | 38 | 0 | 38 | 0 | 0 | 0 | 0 |
| Azerbaijani - Rural | 68 | 0 | 68 | 0 | 0 | 0 | 0 |
| Russian or Russian/ Azerbaijani | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Total | 170 | 0 | 170 | 0 | 0 | 0 | 0 |

## Bahrain

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<10$ ), special needs schools, students taught in French, and students taught in Japanese
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by governorate (5) and gender (girls, boys) within public schools
- No implicit stratification
- Sampled one classroom per school
- All schools were selected
- Schools or classes were used as variance estimation strata and classes or half classes were used to build jackknife replicates, when all classes within school were sampled


## Allocation of School Sample in Bahrain

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Public Muharraq Girls | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public Muharraq Boys | 11 | 0 | 11 | 0 | 0 | 0 | 0 |
| Public Capital - Girls | 19 | 0 | 19 | 0 | 0 | 0 | 0 |
| Public Capital Boys | 21 | 0 | 21 | 0 | 0 | 0 | 0 |
| Public Northern Girls | 21 | 0 | 21 | 0 | 0 | 0 | 0 |
| Public Northern Boys | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Public Southern Girls | 11 | 0 | 11 | 0 | 0 | 0 | 0 |
| Public Southern Boys | 11 | 0 | 11 | 0 | 0 | 0 | 0 |
| Private | 63 | 1 | 61 | 0 | 0 | 1 | 0 |
| Total | 184 | 1 | 182 | 0 | 0 | 1 | 0 |

## Belgium (Flemish)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<$ ) and French schools
- Within-school exclusions consisted of students with intellectual disabilities and nonnative language speakers


## Sample Design

- Explicit stratification by region (6), socioeconomic status (4), school type (official, private), and a stratum of eligible special education schools
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 37)
- Field Test and Main Data Collection samples were selected separately. PIRLS Field Test sample was selected simultaneously with the TIMSS 2015 Main Data Collection sample to avoid overlap. PIRLS Main Data Collection sample was selected using the Chowdhury method to minimize overlap with both PIRLS Field Test sample and TIMSS 2015 Main Data Collection sample.


## Allocation of School Sample in Belgium (Flemish)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{aligned} & \text { 2nd } \\ & \text { Replacements } \end{aligned}$ |  |  |
| Antwerpen Official - Low SES | 9 | 0 | 6 | 2 | 0 | 1 | 0 |
| Antwerpen - Private <br> - Low SES | 8 | 0 | 4 | 3 | 1 | 0 | 0 |
| Antwerpen - High SES | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Antwerpen - MedHigh SES | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Antwerpen - MedLow SES | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| Brussels <br> Hoofdstedelijk <br> Gewest - Low SES | 8 | 0 | 6 | 1 | 0 | 1 | 0 |
| Limburg - Higher SES | 10 | 0 | 6 | 3 | 1 | 0 | 0 |
| Limburg - Lower SES | 10 | 0 | 5 | 3 | 0 | 2 | 0 |
| Oost-Vlaanderen High SES | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| Oost-Vlaanderen -Med-High SES | 7 | 0 | 6 | 0 | 0 | 1 | 0 |
| Oost-Vlaanderen -Med-Low SES | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Oost-Vlaanderen Low SES | 8 | 0 | 5 | 3 | 0 | 0 | 0 |
| Vlaams-Brabant Higher SES | 12 | 0 | 8 | 1 | 2 | 1 | 0 |
| Vlaams-Brabant Lower SES | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| West-Vlaanderen High SES | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| West-Vlaanderen -Med-High SES | 7 | 0 | 7 | 0 | 0 | 0 | 0 |
| West-Vlaanderen Lower SES | 9 | 0 | 8 | 1 | 0 | 0 | 0 |
| Special Education schools | 10 | 2 | 5 | 1 | 1 | 1 | 0 |
| Total | 160 | 3 | 124 | 19 | 5 | 9 | 0 |

## Belgium (French)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school network (public at state level, public at local level, private) and socioeconomic status (4)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size $>47$ )


## Allocation of School Sample in Belgium (French)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | $\qquad$ |  |  |
| Public at state level - 1st and 2nd SES quartiles | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public at state level - 3rd and 4th SES quartiles | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public at local level - 1st SES quartile | 18 | 0 | 18 | 0 | 0 | 0 | 0 |
| Public at local level 2nd SES quartile | 16 | 0 | 15 | 1 | 0 | 0 | 0 |
| Public at local level - 3rd SES quartile | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public at local level - 4th SES quartile | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Private sectarian 1st SES quartile | 14 | 0 | 13 | 1 | 0 | 0 | 0 |
| Private sectarian 2nd SES quartile | 14 | 0 | 13 | 1 | 0 | 0 | 0 |
| Private sectarian 3rd SES quartile | 20 | 0 | 18 | 2 | 0 | 0 | 0 |
| Private sectarian 4th SES quartile | 18 | 0 | 17 | 1 | 0 | 0 | 0 |
| Total | 158 | 0 | 152 | 6 | 0 | 0 | 0 |

## Bulgaria

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (elementary, basic, general) and urbanization (capital, large cities, other)
- Implicit stratification by urbanization (city, village) within the basic schools found outside the larger cities
- Sampled two classrooms in large schools (measure of size $>69$ )
- The school sample was selected by controlling for the overlap with the TIMSS 2015 sample using the Chowdhury approach


## Allocation of School Sample in Bulgaria

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Elementary Capital and Large Cities | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Elementary - Others | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Basic - Capital | 10 | 1 | 9 | 0 | 0 | 0 | 0 |
| Basic - Large Cities | 29 | 0 | 29 | 0 | 0 | 0 | 0 |
| Basic - Others | 44 | 0 | 44 | 0 | 0 | 0 | 0 |
| General - Capital | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| General - Large Cities | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| General - Others | 24 | 0 | 24 | 0 | 0 | 0 | 0 |
| Total | 154 | 1 | 153 | 0 | 0 | 0 | 0 |

## Canada

## Coverage and Exclusions

- Coverage is 96.9 percent. Coverage in Canada is restricted to students from the provinces of Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland, Ontario, Quebec, and Saskatchewan.
- School-level exclusions consisted of very small schools (measure of size $<4$ in Manitoba and Saskatchewan; measure of size $<6$ in Alberta, Newfoundland, and Ontario; measure of size $<9$ in British Columbia; and measure of size $<10$ in Quebec); special needs schools, First Nations, French first language (in Newfoundland); home schooled, institutional, and private schools as well as public special schools (in Manitoba); international schools, non-ministry, and special status schools (in Quebec); and distance learning and not funded schools (in British Colombia)
- For ePIRLS, coverage is 74 percent. Coverage in Canada is restricted to students from the provinces of British Columbia, Newfoundland, Ontario, and Quebec.
- For ePIRLS, school-level exclusions consisted of very small schools (measure of size $<4$ in Manitoba and Saskatchewan, measure of size $<6$ in Alberta, Newfoundland and Ontario, measure of size $<9$ in British Columbia, and measure of size $<10$ in Quebec); special needs schools, First Nations, French first language (in Newfoundland); international schools, non-ministry, and special status schools (in Quebec); and distance learning and not funded schools (in British Colombia)
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by province (8). Within the province of British Columbia, explicit stratification was done by school language (English, French) and school type within English schools (English only, immersion, dual track). Within the province of Alberta, explicit stratification was done by school system (French, English) and school type (immersion, regular). Within the province of Ontario, explicit stratification was done by school type (private, Catholic, public) and language (English, French) within Catholic and public schools. Within Quebec, explicit stratification was done by school type (public, private) and language (French, English). Within the province of New Brunswick, explicit stratification was done by school language (English, French)
- Implicit stratification by region (4) in public and Catholic explicit strata within Ontario
- Sampled two classrooms in large schools for Quebec and Ontario (measure of size >80), as well as in Alberta French schools. All classrooms selected in British Columbia French schools.
- The PIRLS school sample was selected by controlling for the overlap with the TIMSS 2015 Grade 4 sample using the Chowdhury approach
- All French schools in British Columbia were selected
- For ePIRLS, only a subsample of PIRLS schools was randomly selected in Quebec. School weights were adjusted accordingly.
- In British Columbia French schools stratum, schools or classes were used as variance estimation strata and half classes were used as jackknife replicates


## Allocation of School Sample in Canada - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\qquad$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Newfoundland | 130 | 0 | 128 | 0 | 0 | 2 | 0 |
| New Brunswick English | 136 | 6 | 130 | 0 | 0 | 0 | 0 |
| New Brunswick French | 66 | 0 | 66 | 0 | 0 | 0 | 0 |
| Quebec - English Private | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Quebec - English Public | 42 | 0 | 39 | 0 | 0 | 3 | 0 |
| Quebec - French Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Quebec - French Public | 118 | 1 | 35 | 25 | 13 | 44 | 0 |
| Ontario - Private | 8 | 0 | 0 | 1 | 0 | 7 | 0 |
| Ontario - English Catholic | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Ontario - English Public | 80 | 2 | 77 | 1 | 0 | 0 | 0 |
| Ontario - French Catholic \& Public | 80 | 0 | 79 | 0 | 0 | 1 | 0 |
| Manitoba | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Saskatchewan | 8 | 0 | 6 | 2 | 0 | 0 | 0 |
| British Columbia - English System English | 106 | 1 | 104 | 0 | 0 | 1 | 0 |
| British Columbia - English System Immersion | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| British Columbia - English System Dual Track | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| British Columbia French System | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Alberta - English <br> System - Private | 6 | 1 | 4 | 1 | 0 | 0 | 2 |
| Alberta - English System - Public | 17 | 1 | 10 | 1 | 1 | 4 | 1 |
| Alberta - English System - French Immersion - Private | 6 | 1 | 3 | 1 | 0 | 1 | 1 |
| Alberta - English System - French Immersion - Public | 90 | 0 | 75 | 7 | 1 | 7 | 2 |
| Alberta - French System - Public | 24 | 0 | 22 | 0 | 0 | 2 | 0 |
| Total | 1014 | 16 | 872 | 39 | 15 | 72 | 6 |

## Allocation of School Sample in Canada - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Newfoundland | 130 | 0 | 127 | 0 | 0 | 3 | 0 |
| Quebec - English Private | 2 | 0 | 2 | 0 | 0 | 0 | 0 |
| Quebec - English Public | 2 | 0 | 2 | 0 | 0 | 0 | 0 |
| Quebec - French Private | 2 | 0 | 2 | 0 | 0 | 0 | 0 |
| Quebec - French Public | 24 | 0 | 8 | 4 | 1 | 11 | 0 |
| Ontario - Private | 8 | 0 | 0 | 1 | 0 | 7 | 0 |
| Ontario - English Catholic | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Ontario - English Public | 80 | 2 | 75 | 1 | 0 | 2 | 0 |
| Ontario - French Catholic \& Public | 80 | 0 | 77 | 0 | 0 | 3 | 0 |
| British Columbia - English System English | 106 | 1 | 102 | 0 | 0 | 3 | 0 |
| British Columbia - English System Immersion | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| British Columbia - English System Dual Track | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| British Columbia French System | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Total | 507 | 4 | 467 | 6 | 1 | 29 | 0 |

## Chile

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and geographically inaccessible schools
- Within-school exclusions consisted of students with intellectual disabilities


## Sample Design

- Explicit stratification by school type (public, private subsidized, private paid), urbanization (rural, urban) within public schools and school size (up to 40 students, 41-80 students, more than 80 students) within public and private subsidized schools
- No implicit stratification
- Sampled one classroom
- The school sample for PIRLS was selected by controlling for the overlap with the ICCS sample using the Chowdhury approach

Allocation of School Sample in Chile

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Public - Urban - Up to 40 students | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Urban - 41 to 80 students | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Public - Urban - 80 or more students | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Rural | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Private subsidized Up to 40 students | 20 | 0 | 17 | 3 | 0 | 0 | 0 |
| Private subsidized 41 to 80 students | 24 | 0 | 22 | 1 | 1 | 0 | 0 |
| Private subsidized - 80 or more students | 24 | 0 | 21 | 3 | 0 | 0 | 0 |
| Private | 39 | 0 | 32 | 7 | 0 | 0 | 0 |
| Total | 154 | 0 | 139 | 14 | 1 | 0 | 0 |

## Chinese Taipei

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (north, middle, south, east and isolated islands). East and isolated islands were grouped together.
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 289)


## Allocation of School Sample in Chinese Taipei - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| North | 66 | 0 | 66 | 0 | 0 | 0 | 0 |
| Middle | 38 | 0 | 38 | 0 | 0 | 0 | 0 |
| South | 38 | 0 | 38 | 0 | 0 | 0 | 0 |
| East \& Isolated Islands | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 150 | 0 | 150 | 0 | 0 | 0 | 0 |

Allocation of School Sample in Chinese Taipei - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| North | 66 | 0 | 66 | 0 | 0 | 0 | 0 |
| Middle | 38 | 0 | 38 | 0 | 0 | 0 | 0 |
| South | 38 | 0 | 38 | 0 | 0 | 0 | 0 |
| East \& Isolated Islands | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 150 | 0 | 150 | 0 | 0 | 0 | 0 |

## Czech Republic

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<3$ ), special needs schools, and Polish instructional language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (14)
- No implicit stratification
- Sampled two classrooms whenever possible


## Allocation of School Sample in Czech Republic

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Praha | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Středočeský | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Jihočeský | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Plzeňský | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Karlovarský | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Ústecký | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Liberecký | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Královéhradecký | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Pardubický | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Vysočina | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Jihomoravský | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Olomoucký | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Zlínský | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Moravskoslezský | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Total | 157 | 0 | 157 | 0 | 0 | 0 | 0 |

## Denmark

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, daycare and rehabilitation home schools as well as German, English, and Rudolf Steiner schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private)
- No implicit stratification
- Sampled one classroom per school

Allocation of School Sample in Denmark - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \text { 1st } \\ \text { Replacements } \end{array}$ | $\qquad$ |  |  |
| Public | 171 | 7 | 154 | 8 | 0 | 2 | 0 |
| Private | 27 | 0 | 16 | 6 | 1 | 4 | 0 |
| Total | 198 | 7 | 170 | 14 | 1 | 6 | 0 |

Allocation of School Sample in Denmark - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd <br> Replacements |  |  |
| Public | 171 | 7 | 124 | 5 | 0 | 35 | 0 |
| Private | 27 | 0 | 8 | 4 | 1 | 14 | 0 |
| Total | 198 | 7 | 132 | 9 | 1 | 49 | 0 |

## Egypt

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<10$ ), schools in Matrouh, and schools in North Sinai
- No within-school exclusions


## Sample design

- Explicit stratification by region (Capital, North, South) and school type (government, private)
- Implicit stratification by urbanization (urban, rural) within government schools strata
- Sampled one classroom per school


## Allocation of School Sample in Egypt

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline 1 \text { st } \\ \text { Replacements } \end{array}$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Capital Government | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Capital - Private | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| North Government | 60 | 0 | 60 | 0 | 0 | 0 | 0 |
| North - Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| South Government | 44 | 0 | 44 | 0 | 0 | 0 | 0 |
| South - Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 160 | 0 | 160 | 0 | 0 | 0 | 0 |

## England

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<8$ ), special needs schools, and pupil referral units
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (state-funded, private) and attainment level (5)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 99)
- The Field Test and Main Data Collection PIRLS samples were selected separately. The PIRLS Main Data Collection sample was selected by controlling for the overlap with the TIMSS 2015 samples and with the PIRLS Field Test sample using the Chowdhury approach.


## Allocation of School Sample in England

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| State-funded - Low attainment level | 26 | 0 | 25 | 1 | 0 | 0 | 0 |
| State-funded - Low to Mid attainment level | 34 | 0 | 34 | 0 | 0 | 0 | 0 |
| State-funded Mid and missing attainment level | 34 | 0 | 33 | 1 | 0 | 0 | 0 |
| State-funded - Mid to High attainment level | 35 | 0 | 35 | 0 | 0 | 0 | 0 |
| State-funded - High attainment level | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Private | 12 | 1 | 11 | 0 | 0 | 0 | 0 |
| Total | 171 | 1 | 168 | 2 | 0 | 0 | 0 |

## Finland

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and schools with instructional languages other than Finnish or Swedish
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by major region (Helsinki and Uusimaa, southern, western, northern) and urbanization (urban and semi-urban, rural) within Finnish schools. Swedish speaking schools are in a separate explicit stratum.
- No implicit stratification
- Sampled two classrooms per school
- The PIRLS samples were selected by controlling for the overlap with the TIMSS 2015 Main Data Collection sample using the Chowdhury approach


## Allocation of School Sample in Finland

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Helsinki \& Uusimaa | 40 | 0 | 39 | 0 | 0 | 1 | 0 |
| Southern - Urban \& Semi-Urban | 26 | 3 | 22 | 1 | 0 | 0 | 0 |
| Southern - Rural | 8 | 2 | 6 | 0 | 0 | 0 | 0 |
| Western - Urban \& Semi-Urban | 32 | 1 | 31 | 0 | 0 | 0 | 0 |
| Western - Rural | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Northern \& Eastern - Urban \& Semi- <br> Urban | 26 | 0 | 25 | 1 | 0 | 0 | 0 |
| Northern \& Eastern - Rural | 10 | 1 | 9 | 0 | 0 | 0 | 0 |
| Swedish speaking | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Total | 159 | 7 | 149 | 2 | 0 | 1 | 0 |

## France

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<3$ ), overseas territories, Reunion and Mayotte Islands, Guyana (Southern Hemisphere), private schools without contract, specialized schools, and French schools in foreign countries
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public-other, public-priority education zone, private)
- No implicit stratification
- Sampled two classrooms per school
- PIRLS 2016 samples and TIMSS 2015 samples were selected simultaneously to avoid overlap between the two studies


## Allocation of School Sample in France

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Public-other | 100 | 2 | 98 | 0 | 0 | 0 | 0 |
| Public-priority education zone | 44 | 1 | 42 | 1 | 0 | 0 | 0 |
| Private | 22 | 0 | 21 | 1 | 0 | 0 | 0 |
| Total | 166 | 3 | 161 | 2 | 0 | 0 | 0 |

## Georgia

## Coverage and Exclusions

- Coverage is 95.9 percent. Coverage in Georgia is restricted to students taught in Georgian and Azerbaijani.
- School-level exclusions consisted of very small schools (measure of size $<3$ ) and foreign instructional language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by language taught in school (Georgian, Azerbaijani), teacher certification (certified, non-certified), urbanization (urban, rural), and school type (public, private)
- No implicit stratification
- Sampled two classrooms in Georgian schools with certified teachers
- The Field Test and Main Data Collection PIRLS samples were selected sequentially. The PIRLS Main Data Collection sample was selected by controlling for the overlap with the PIRLS Field Test sample using the Chowdhury approach.
- Oversampled Azerbaijani schools as well as public schools with certified teachers in order to get better estimates
- Class group option was used in bilingual schools as well as in schools with certified teachers

Allocation of School Sample in Georgia - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Georgian - Certified <br> - Urban - Public | 71 | 0 | 71 | 0 | 0 | 0 | 0 |
| Georgian - Certified <br> - Rural - Public | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Georgian - Certified <br> - Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Georgian - Noncertified - Urban - Public | 33 | 0 | 33 | 0 | 0 | 0 | 0 |
| Georgian - Noncertified - Rural - Public | 35 | 0 | 34 | 1 | 0 | 0 | 0 |
| Georgian - Noncertified - Private | 8 | 0 | 6 | 0 | 1 | 1 | 0 |
| Azeri | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Total | 201 | 0 | 198 | 1 | 1 | 1 | 0 |

Allocation of School Sample in Georgia - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Georgian - Certified <br> - Urban - Public | 71 | 0 | 70 | 0 | 0 | 1 | 0 |
| Georgian - Certified <br> - Rural - Public | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Georgian - Certified <br> - Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Georgian - Noncertified - Urban - Public | 33 | 0 | 33 | 0 | 0 | 0 | 0 |
| Georgian - Noncertified - Rural Public | 35 | 0 | 34 | 1 | 0 | 0 | 0 |
| Georgian - Noncertified - Private | 8 | 0 | 6 | 0 | 1 | 1 | 0 |
| Azeri | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Total | 201 | 0 | 197 | 1 | 1 | 2 | 0 |

## Germany

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by percentage of immigrants in school (very low, low, medium, high). A separate stratum was created for the special needs schools (SEN).
- No implicit stratification
- Sampled one classroom per school


## Allocation of School Sample in Germany

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} 1 \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Regular - Very low | 62 | 1 | 57 | 2 | 1 | 1 | 0 |
| Regular - Low | 94 | 0 | 94 | 0 | 0 | 0 | 0 |
| Regular - Medium | 28 | 0 | 27 | 1 | 0 | 0 | 0 |
| Regular - High | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Special needs schools | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Total | 210 | 1 | 204 | 3 | 1 | 1 | 0 |

## Hong Kong SAR

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and international schools
- Within-school exclusions consisted of students with intellectual disabilities and nonnative language speakers


## Sample Design

- Explicit stratification by school gender (single gender, co-educational) and school type (4) within co-educational strata
- No implicit stratification
- Sampled two classrooms in large co-educational aided schools with six or more classrooms

Allocation of School Sample in Hong Kong SAR

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Single gender | 8 | 0 | 6 | 1 | 1 | 0 | 0 |
| Co-educational Aided | 120 | 1 | 89 | 15 | 5 | 10 | 0 |
| Co-educational Direct subsidy | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| Co-educational Government | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Co-educational Private | 8 | 0 | 4 | 2 | 0 | 2 | 0 |
| Total | 152 | 1 | 114 | 18 | 6 | 13 | 0 |

## Hungary

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and students taught in foreign language
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by community type (capital and county town, town, rural area) and national assessment reading score (low, medium, high, missing)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 55)


## Allocation of School Sample in Hungary

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Capital and County |  |  |  |  |  |  |  |
| Town - Low or Medium score | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Capital and County <br> Town - High score | 30 | 0 | 29 | 1 | 0 | 0 | 0 |
| Capital and County <br> Town - Missing score | 8 | 1 | 6 | 1 | 0 | 0 | 0 |
| Town - Low score | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Town - Medium score | 20 | 1 | 19 | 0 | 0 | 0 | 0 |
| Town - High score | 14 | 1 | 12 | 1 | 0 | 0 | 0 |
| Town - Missing score | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Rural Area - Low score | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Rural Area Medium score | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Rural Area - High score | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Rural Area - Missing score | 8 | 2 | 6 | 0 | 0 | 0 | 0 |
| Total | 154 | 5 | 146 | 3 | 0 | 0 | 0 |

## Iran, Islamic Rep. of

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and geographically inaccessible schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private), gender (mixed, other), region group (1,2,3), province or grouped provinces (6), and gender (boys, girls) within "other" gender public schools
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 119)
- The Field Test and Main Data Collection PIRLS samples were selected separately
- PIRLS and PIRLS Literacy booklets were rotated within classes

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Private | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Public - Mixed Region group 1 | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Mixed Region group 2 | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Mixed Region group 3 | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 1 - All others provinces - Boys | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 1 - All others provinces <br> - Girls | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 1 Khozestan - Boys | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 1 <br> Khozestan - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 2 - All others provinces - Boys | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 2 - All others provinces - Girls | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Other Region group 2 <br> - Razavi Khorasan <br> - Boys | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 2 <br> - Razavi Khorasan <br> - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other Region group 2 <br> - Tehran Province <br> - Boys | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 2 <br> - Tehran Province <br> - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |

## PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \text { 1st } \\ \text { Replacements } \end{array}$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Public - Other - <br> Region group 3 - All others provinces - Boys | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Other - <br> Region group 3 - All others provinces - Girls | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 3 Esfahan-Boys | 14 | 1 | 13 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 3 Esfahan - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other <br> - Region group 3 - <br> Fars - Boys | 14 | 1 | 13 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 3 Fars - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 3 Tehran City-Boys | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Public - Other - Region group 3 Tehran City - Girls | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Total | 274 | 3 | 271 | 0 | 0 | 0 | 0 |

## Ireland

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and non-aided private schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school level socioeconomic status DEIS (non-DEIS, rural, urban band 1, urban band 2), school type (ordinary, Gaeltacht, Gaelscoil), and gender (boys, girls, mixed)
- No implicit stratification
- Sampled two classrooms per school
- For ePIRLS, students were subsampled within classes and students weights were adjusted accordingly

Allocation of School Sample in Ireland - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Gaelscoil | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Gaeltacht | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Non-DEIS - <br> Ordinary - Boys | 12 | 1 | 11 | 0 | 0 | 0 | 0 |
| Non-DEIS - <br> Ordinary - Girls | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Non-DEIS - <br> Ordinary - Mixed | 80 | 0 | 80 | 0 | 0 | 0 | 0 |
| Rural - Ordinary | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Urban Band 1 Ordinary | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Urban Band 2 Ordinary | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Total | 150 | 2 | 148 | 0 | 0 | 0 | 0 |

## Allocation of School Sample in Ireland - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Gaelscoil | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Gaeltacht | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Non-DEIS Ordinary - Boys | 12 | 1 | 11 | 0 | 0 | 0 | 0 |
| Non-DEIS - <br> Ordinary - Girls | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Non-DEIS - <br> Ordinary - Mixed | 80 | 0 | 80 | 0 | 0 | 0 | 0 |
| Rural - Ordinary | 8 | 1 | 6 | 0 | 0 | 1 | 0 |
| Urban Band 1 Ordinary | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Urban Band 2 Ordinary | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Total | 150 | 2 | 147 | 0 | 0 | 1 | 0 |

## Israel

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, schools teaching in English or French, and Ultra-Orthodox schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school sector (Hebrew-Secular, Hebrew-Religious, Arabic), socioeconomic status (high, medium, low) and subgroups within Arab sector (Arab, Bedouin, Druze)
- No implicit stratification
- Sampled one classroom per school


## Allocation of School Sample in Israel - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Hebrew-Secular High SES | 42 | 0 | 41 | 0 | 0 | 1 | 0 |
| Hebrew-Secular Medium SES | 26 | 0 | 25 | 1 | 0 | 0 | 0 |
| Hebrew-Secular Low SES | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Hebrew-Religious High SES | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| Hebrew-Religious Medium SES | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Hebrew-Religious Low SES | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Arabic-Arab - <br> Medium SES | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| $\begin{aligned} & \text { Arabic-Arab - Low } \\ & \text { SES } \end{aligned}$ | 18 | 0 | 18 | 0 | 0 | 0 | 0 |
| Arabic-Bedouin | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Arabic-Druze | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 160 | 0 | 157 | 2 | 0 | 1 | 0 |


| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | $\begin{aligned} & \text { 2nd } \\ & \text { Replacements } \end{aligned}$ |  |  |
| Hebrew-Secular High SES | 42 | 0 | 41 | 0 | 0 | 1 | 0 |
| Hebrew-Secular Medium SES | 26 | 0 | 25 | 1 | 0 | 0 | 0 |
| Hebrew-Secular Low SES | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Hebrew-Religious High SES | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| Hebrew-Religious Medium SES | 16 | 0 | 15 | 0 | 0 | 1 | 0 |
| Hebrew-Religious Low SES | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| Arabic-Arab Medium SES | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Arabic-Arab - Low SES | 18 | 0 | 18 | 0 | 0 | 0 | 0 |
| Arabic-Bedouin | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Arabic-Druze | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 160 | 0 | 155 | 2 | 0 | 3 | 0 |

## Italy

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of Slovenian, Ladin, and German instructional language schools
- Within-school exclusions consisted of students with functional disabilities and nonnative language speakers


## Sample Design

- Explicit stratification by school type (private, public) and region (center, south and islands, north east, north west, south) within public schools
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 109)
- The Field Test and Main Data Collection PIRLS samples were selected separately. The PIRLS Main Data Collection sample was selected by controlling for the overlap with the TIMSS 2015 and PIRLS Field Test samples using the Chowdhury approach.


## Allocation of School Sample in Italy - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd <br> Replacements |  |  |
| Private | 10 | 0 | 8 | 2 | 0 | 0 | 0 |
| Public - Center | 28 | 0 | 24 | 4 | 0 | 0 | 0 |
| Public - South and Islands | 22 | 0 | 20 | 2 | 0 | 0 | 0 |
| Public - North East | 26 | 0 | 21 | 4 | 0 | 1 | 0 |
| Public - North West | 36 | 0 | 34 | 2 | 0 | 0 | 0 |
| Public - South | 28 | 0 | 27 | 1 | 0 | 0 | 0 |
| Total | 150 | 0 | 134 | 15 | 0 | 1 | 0 |

Allocation of School Sample in Italy - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Private | 10 | 0 | 8 | 2 | 0 | 0 | 0 |
| Public - Center | 28 | 0 | 24 | 4 | 0 | 0 | 0 |
| Public - South and Islands | 22 | 0 | 19 | 2 | 0 | 1 | 0 |
| Public - North East | 26 | 0 | 21 | 4 | 0 | 1 | 0 |
| Public - North West | 36 | 0 | 34 | 2 | 0 | 0 | 0 |
| Public - South | 28 | 0 | 27 | 1 | 0 | 0 | 0 |
| Total | 150 | 0 | 133 | 15 | 0 | 2 | 0 |

## PIRLS

2016

## Kazakhstan

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and languages other than Kazakh and Russian
- No within-school exclusions


## Sample Design

- Explicit stratification by region (4), language (Kazakh, Russian, both languages) and urbanization (urban, rural)
- No implicit stratification
- Sampled two classrooms in schools with both Kazakh and Russian languages of instruction
- Class group option was used in bilingual schools


## Allocation of School Sample in Kazakhstan

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Region A - Kazakh Urban | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Region A - Kazakh - Rural | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| Region A - Russian | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Region A - Both Kazakh and Russian | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Region B - Kazakh Urban | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region B - Kazakh <br> - Rural | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Region B - Both Kazakh and Russian <br> - Urban | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region B - Both Kazakh and Russian <br> - Rural | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Region B and C Russian/Other | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Region C - Kazakh Urban | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Region C - Kazakh <br> - Rural | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Region C - Both Kazakh and Russian - Urban | 16 | 0 | 16 | 0 | 0 | 0 | 0 |
| Region C - Both Kazakh and Russian <br> - Rural | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region D - Kazakh - Urban | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region D - Kazakh <br> - Rural | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region D-Russian | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Region D - Both Kazakh and Russian | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 174 | 2 | 171 | 1 | 0 | 0 | 0 |

## Kuwait

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and minority language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private), region (6), and gender (male, female) within public schools, and language (Arabic, foreign, bilingual) within private schools
- No implicit stratification
- Sampled two classrooms in private bilingual schools
- The PIRLS samples were selected simultaneously with the TIMSS Main Data Collection to avoid overlap
- All private bilingual were sampled for PIRLS


## Allocation of School Sample in Kuwait

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Public - Asema Female | 10 | 1 | 9 | 0 | 0 | 0 | 0 |
| Public - Asema Male | 11 | 0 | 11 | 0 | 0 | 0 | 0 |
| Public - Hawally Female | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Hawally Male | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Farwaniya Female | 11 | 0 | 11 | 0 | 0 | 0 | 0 |
| Public - Farwaniya <br> - Male | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Public - Ahmadi Female | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Public - Ahmadi Male | 13 | 1 | 12 | 0 | 0 | 0 | 0 |
| Public - Jahra - <br> Female | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Jahra - Male | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Public - Mubarak Alkabeer - Female | 7 | 0 | 7 | 0 | 0 | 0 | 1 |
| Public - Mubarak Alkabeer - Male | 6 | 0 | 6 | 0 | 0 | 0 | 1 |
| Private - Arabic | 18 | 2 | 16 | 0 | 0 | 0 | 0 |
| Private - Foreign | 29 | 0 | 27 | 0 | 0 | 2 | 0 |
| Private - Bilingual | 20 | 0 | 18 | 0 | 0 | 2 | 0 |
| Total | 185 | 4 | 177 | 0 | 0 | 4 | 2 |

## Latvia

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and schools with instructional language other than Latvian or Russian
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school level (Grade 4 only, Grade 4 and 8), urbanization (Riga, city, town and rural area), language (Latvian, Russian), and school type (gymnasiumsecondary, basic-beginners) within town and rural area Latvian schools
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 59)
- Did not participate in the Field Test. The PIRLS Main data Collection sample was selected simultaneously with the 2016 ICCS Main Data Collection sample to avoid overlap.
- Class group option was used in bilingual schools


## Allocation of School Sample in Latvia

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Grade 4 only - Riga | 6 | 1 | 5 | 0 | 0 | 0 | 0 |
| Grade 4 only - City | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Grade 4 only -Town-Rural | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Grade 4 \& Grade 8 Riga - Latvian | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Grade 4 \& Grade 8 - <br> Riga - Russian | 24 | 0 | 21 | 1 | 0 | 2 | 0 |
| Grade 4 \& Grade 8 - <br> City - Latvian | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Grade 4 \& Grade 8 - <br> City - Russian | 12 | 0 | 11 | 1 | 0 | 0 | 0 |
|  <br> Grade 8 - Town- <br> Rural - Latvian <br> - Gymnasium- <br> Secondary | 34 | 0 | 31 | 1 | 0 | 2 | 0 |
| Grade 4 \& Grade <br> 8 - Town-Rural <br> - Latvian - Basic- <br> Beginners | 24 | 0 | 23 | 0 | 1 | 0 | 0 |
| Grade 4 \& Grade 8 - Town-Rural Russian | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Total | 156 | 1 | 146 | 4 | 1 | 4 | 0 |

## Lithuania

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and other language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by language (Lithuanian, Russian, Polish, mixed) and urbanization within Lithuanian schools (4)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size $>99$ ) and in bilingual schools
- The Field Test and Main data Collection PIRLS samples were selected sequentially
- Class group option was used in bilingual schools


## Allocation of School Sample in Lithuania

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Lithuanian - Capital | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Lithuanian - Other Major City | 33 | 0 | 33 | 0 | 0 | 0 | 0 |
| Lithuanian - City | 52 | 0 | 52 | 0 | 0 | 0 | 0 |
| Lithuanian - Small City or Village | 29 | 0 | 29 | 0 | 0 | 0 | 0 |
| Russian | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Polish | 19 | 0 | 19 | 0 | 0 | 0 | 1 |
| Mixed | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | 195 | 0 | 195 | 0 | 0 | 0 | 1 |

## Macao SAR

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of international schools
- Within-school exclusions consisted of students with functional disabilities and nonnative language speakers


## Sample Design

- All schools were sampled and therefore no explicit or implicit stratification were used
- All classrooms selected within school
- Classes were used as variance estimation strata and half classes were used to build jackknife replicates
- Did not participate in the Field Test

Allocation of School Sample in Macao SAR

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd <br> Replacements |  |  |
| Macao SAR | 57 | 0 | 57 | 0 | 0 | 0 | 0 |
| Total | 57 | 0 | 57 | 0 | 0 | 0 | 0 |

## Malta

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and foreign instructional language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (state, church, independent)
- No implicit stratification
- All classrooms were sampled
- All schools and all Grade 4 (Year 5) students were selected
- Classes were used as variance estimation strata and half classes were used to build jackknife replicates. All classrooms selected within schools.


## Allocation of School Sample in Malta

|  | Total <br> Explicit Strata <br> Sampled <br> Schools | Ineligible <br> Schools | Original <br> Schools | 1st <br> Replacements | 2nd <br> Replacements | Refusal <br> Schools | Excluded <br> Schools |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Church |  | 0 | 25 | 0 | 0 | 0 | 0 |
| Independent |  | 0 | 8 | 0 | 0 | 0 | 2 |
| State | 62 | 0 | 62 | 0 | 0 | 0 | 0 |
| Total | $\mathbf{9 5}$ | $\mathbf{0}$ | $\mathbf{9 5}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{2}$ |

## Morocco

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size < 6)
- No within-school exclusions


## Sample Design

- Explicit stratification by school type (private, public) and region (16)
- No implicit stratification
- The Field Test and Main Data Collection PIRLS samples were selected separately. The PIRLS Main Data Collection sample was selected by controlling for the overlap with the TIMSS 2015 samples and with the PIRLS Field Test sample using the Chowdhury approach.
- Oversampling of private schools and public within each region. All public schools were sampled in the region of Oued eddahab Lagouira. In these census strata, two classrooms were selected per school, and schools or classes were used as variance estimation strata and classes or half classes were used to build jackknife replicates. Sampled one classroom per school in other strata.
- PIRLS and PIRLS Literacy booklets were rotated within classes


## Allocation of School Sample in Morocco

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Private - Grand Casablanca | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Private - All Other Regions | 28 | 0 | 28 | 0 | 0 | 0 | 0 |
| Public - Chaouia Ouardigha | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Doukkala Abda | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Fes Boulmane | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Gharb Chrarda Beni Hssein | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Goulmim Smara | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Grand Casablanca | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Laayoune Boujdour Sakia Hamra | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Marrakech Tansift Haouz | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Meknes Tafilalt | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Oued eddahab Lagouira | 21 | 1 | 20 | 0 | 0 | 0 | 0 |
| Public - Rabat Salé Zemmour Zaer | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Région Est | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Souss Massa Draa | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Tadla Azilal | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Tanger Tetouan | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Public - Taza <br> Hoceima Taounate | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | 361 | 1 | 360 | 0 | 0 | 0 | 0 |

## PIRLS

2016

## Netherlands

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<6$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities and nonnative language speakers


## Sample Design

- Explicit stratification by combinations of TIMSS and PIRLS socioeconomic status (5) and urbanization (5)
- No implicit stratification
- All classrooms were sampled
- PIRLS 2016 samples and TIMSS 2015 samples were selected simultaneously to avoid overlap


## Allocation of School Sample in Netherlands

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| TIMSS \& PIRLS High Mean SES - Very High Population Density | 8 | 0 | 3 | 3 | 0 | 2 | 0 |
| TIMSS \& PIRLS High Mean SES - High Population Density | 14 | 0 | 9 | 4 | 1 | 0 | 0 |
| TIMSS \& PIRLS High Mean SES - Moderate Population Density | 16 | 0 | 11 | 3 | 0 | 2 | 0 |
| TIMSS \& PIRLS High Mean SES - Low Population Density | 16 | 0 | 13 | 1 | 0 | 2 | 0 |
| TIMSS \& PIRLS High Mean SES - Very Low Population Density | 16 | 0 | 14 | 1 | 1 | 0 | 0 |
| TIMSS High \& PIRLS Medium Mean SES - High to Very High Density | 10 | 0 | 5 | 1 | 1 | 3 | 0 |
| TIMSS High \& PIRLS Medium Mean SES - Low to Moderate Density | 14 | 0 | 9 | 1 | 3 | 1 | 0 |
| TIMSS \& PIRLS Medium Mean SES - High to Very High Density | 10 | 0 | 7 | 3 | 0 | 0 | 0 |
| TIMSS \& PIRLS Medium Mean SES - Low to Moderate Density | 12 | 1 | 11 | 0 | 0 | 0 | 0 |
| TIMSS Medium \& PIRLS Low Mean SES - High to Very High Density | 14 | 1 | 10 | 2 | 0 | 1 | 0 |
| TIMSS Medium \& PIRLS Low Mean SES - Low to Moderate Density | 10 | 0 | 8 | 1 | 0 | 1 | 0 |
| TIMSS \& PIRLS Low Mean SES | 10 | 0 | 1 | 2 | 3 | 4 | 0 |
| Total | 150 | 2 | 101 | 22 | 9 | 16 | 0 |

## PIRLS

2016

## New Zealand

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, Westmount closed Brethren campus, and correspondence school
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (4), socioeconomic status level (4), and urbanization (2)
- No implicit stratification
- Sampled two classrooms per school
- The PIRLS school samples were selected by controlling for the overlap with the TIMSS 2015 Grade 4 and Grade 8 samples using the Chowdhury approach


## PIRLS

2016

## Allocation of School Sample in New Zealand

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Maori-Medium | 10 | 0 | 4 | 1 | 1 | 4 | 0 |
| English-Medium High Immersion | 10 | 0 | 8 | 1 | 0 | 1 | 0 |
| Bilingual schools | 8 | 0 | 5 | 0 | 1 | 2 | 0 |
| English-Medium (other) Independent | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| English-Medium (other) - Low SES | 24 | 0 | 19 | 3 | 1 | 1 | 0 |
| English-Medium (other) - Moderately low SES - Major urban centers | 24 | 0 | 20 | 3 | 1 | 0 | 0 |
| English-Medium (other) - Moderately low SES - Smaller centers | 14 | 0 | 12 | 1 | 0 | 1 | 0 |
| English-Medium (other) - Moderately high SES - Major urban centers | 33 | 0 | 31 | 2 | 0 | 0 | 0 |
| English-Medium (other) - Moderately high SES - Smaller centers | 16 | 0 | 15 | 1 | 0 | 0 | 0 |
| English-Medium (other) - High SES Major urban centers | 43 | 0 | 39 | 3 | 1 | 0 | 0 |
| English-Medium (other) - High SES Smaller centers | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Total | 198 | 0 | 167 | 16 | 5 | 10 | 0 |

## Northern Ireland

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<6$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (5) and deprivation (5)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 58)
- PIRLS 2016 sample and TIMSS 2015 samples were drawn simultaneously to avoid overlap


## Allocation of School Sample in Northern Ireland

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Belfast - Lower Deprivation Level | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| Belfast - Highest Deprivation Level | 12 | 0 | 10 | 0 | 0 | 2 | 0 |
| Western - Lower Deprivation Level | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Western - Moderate to High Deprivation Level | 10 | 0 | 5 | 1 | 0 | 4 | 0 |
| Western - Highest Deprivation Level | 8 | 0 | 6 | 0 | 0 | 2 | 0 |
| North Eastern Lowest Deprivation Level | 8 | 0 | 6 | 0 | 0 | 2 | 0 |
| North Eastern Low to Moderate Deprivation Level | 12 | 0 | 11 | 1 | 0 | 0 | 0 |
| North Eastern Higher Deprivation Level | 14 | 0 | 12 | 1 | 0 | 1 | 0 |
| South Eastern Lowest Deprivation Level | 12 | 1 | 9 | 0 | 0 | 2 | 0 |
| South Eastern Low to Moderate Deprivation Level | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| South Eastern Higher Deprivation Level | 14 | 0 | 13 | 0 | 0 | 1 | 0 |
| Southern - Lower Deprivation Level | 12 | 0 | 10 | 0 | 0 | 2 | 0 |
| Southern - <br> Moderate <br> Deprivation Level | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Southern - Higher Deprivation Level | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Total | 154 | 1 | 130 | 4 | 0 | 19 | 0 |

## Norway (5)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and instructional language other than Bokmal and Nynorsk
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by "Grade 5"/"Grade 4 and Grade 5" schools and language within "Grade 4 and Grade 5" stratum (Bokmål, Nynorsk)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size >45)
- The PIRLS school samples were selected by controlling for the overlap with the TIMSS 2015 sample using the Chowdhury approach

Allocation of School Sample in Norway (5) - PIRLS

|  | Total <br> Explicit Strata <br> Sampled <br> Schools | Ineligible <br> Schools | Original <br> Schools | 1st <br> Replacements | 2nd <br> Replacements | Refusal <br> Schools | Excluded <br> Schools |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Grade 5 |  | 1 | 6 | 0 | 0 | 0 | 0 |
| Grade 4 \& Grade 5- <br> Bokmål |  | 0 | 119 | 5 | 0 | 2 | 0 |
| Grade 4 \& Grade 5- <br> Nynorsk | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | $\mathbf{1 5 3}$ | $\mathbf{1}$ | $\mathbf{1 4 5}$ | $\mathbf{5}$ | $\mathbf{0}$ | $\mathbf{2}$ | $\mathbf{0}$ |

Allocation of School Sample in Norway (5) - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Grade 5 | 7 | 1 | 6 | 0 | 0 | 0 | 0 |
| Grade 4 \& Grade 5 Bokmål | 126 | 0 | 114 | 4 | 0 | 8 | 0 |
| Grade 4 \& Grade 5 Nynorsk | 20 | 0 | 18 | 0 | 0 | 2 | 0 |
| Total | 153 | 1 | 138 | 4 | 0 | 10 | 0 |

## Oman

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (government, private, international) and governorate (11) within government schools
- No implicit stratification
- In census strata and schools selected with certainty, schools or classes were used as variance estimation strata and classes or half classes were used to build jackknife replicates. Two classrooms selected within these schools. Sampled one classroom per school in other schools.


## Allocation of School Sample in Oman

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Muscat Governorate | 28 | 0 | 28 | 0 | 0 | 0 | 0 |
| Ash Sharqiyah North Governorate | 26 | 1 | 25 | 0 | 0 | 0 | 0 |
| Ash Sharqiyah South Governorate | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Ad Dakhliyah Governorate | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Adh Dhahirah Governorate | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Al Batinah North Governorate | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Al Batinah South Governorate | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Al Buraimi Governorate | 15 | 0 | 15 | 0 | 0 | 0 | 0 |
| Musandam Governorate | 7 | 0 | 7 | 0 | 0 | 0 | 0 |
| Al Wusta Governorate | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Dhofar Governorate | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Private Schools | 26 | 0 | 24 | 1 | 0 | 1 | 0 |
| International Schools | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Total | 308 | 1 | 305 | 1 | 0 | 1 | 0 |

## PIRLS

2016

## Poland

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<$ 5), special needs schools, and instructional language other than Polish
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by urbanization (4) and school performance level (5)
- No implicit stratification
- Sampled two classrooms per school


## Allocation of School Sample in Poland

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Village - Low Performance | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Village - MediumLow Performance | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Village - Medium Performance | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Village - MediumHigh Performance | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Village - High Performance | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Town (Up to 20 thousand inhabitants) -Medium-Low Performance | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Town (Up to 20 thousand inhabitants) -Medium-High Performance | 10 | 1 | 8 | 1 | 0 | 0 | 0 |
| City (20 to 100 thousand inhabitants) - Low Performance | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| City (20 to 100 thousand inhabitants) -Medium-Low Performance | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| City (20 to 100 thousand inhabitants) -Medium-High Performance | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| City (20 to 100 thousand inhabitants) - High Performance | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| City (Above 100 thousand inhabitants) - Low Performance | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| City (Above <br> 100 thousand inhabitants) -Medium-Low Performance | 10 | 0 | 9 | 1 | 0 | 0 | 0 |

## Allocation of School Sample in Poland (Continued)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd <br> Replacements |  |  |
| City (Above 100 thousand inhabitants) -Medium-High Performance | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| City (Above 100 thousand inhabitants) - High Performance | 10 | 0 | 8 | 2 | 0 | 0 | 0 |
| Total | 150 | 1 | 141 | 7 | 0 | 1 | 0 |

## Portugal

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<6$ ), special needs schools, and minority language schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private) and region (7) within public schools
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 149)
- The PIRLS samples were selected by controlling for the overlap with the TIMSS 2015 sample using the Chowdhury approach
- Probability proportional to (school) size systematic sampling was used in the 3 largest explicit strata, and systematic sampling selection with equal probabilities was used in all other strata

Allocation of School Sample in Portugal - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Private - Lisboa | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Private - All Other Regions | 11 | 0 | 10 | 1 | 0 | 0 | 1 |
| Public - Alentejo | 30 | 0 | 27 | 2 | 0 | 1 | 0 |
| Public - Algarve | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Centro | 48 | 0 | 48 | 0 | 0 | 0 | 0 |
| Public - Lisboa | 36 | 0 | 35 | 1 | 0 | 0 | 0 |
| Public - Norte | 64 | 0 | 61 | 1 | 0 | 2 | 0 |
| Public-R. A. Açores | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Public - R. A. Madeira | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 221 | 0 | 211 | 7 | 0 | 3 | 1 |

## Allocation of School Sample in Portugal - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Private - Lisboa | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Private - All Other Regions | 11 | 0 | 10 | 1 | 0 | 0 | 1 |
| Public - Alentejo | 30 | 0 | 27 | 2 | 0 | 1 | 0 |
| Public - Algarve | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Public - Centro | 48 | 0 | 48 | 0 | 0 | 0 | 0 |
| Public - Lisboa | 36 | 0 | 35 | 1 | 0 | 0 | 0 |
| Public - Norte | 64 | 0 | 61 | 1 | 0 | 2 | 0 |
| Public-R. A. Açores | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Public-R. A. Madeira | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 221 | 0 | 211 | 7 | 0 | 3 | 1 |

## Qatar

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, and instructional language other than English and Arabic
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (independent, community, private) and gender (boys, girls) within independent schools
- No implicit stratification
- Sampled two classrooms per school
- Census of schools
- Schools or classrooms or half classrooms were used to build jackknife replicates for variance estimation


## Allocation of School Sample in Qatar

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original <br> Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | $\begin{array}{\|c} \text { 2nd } \\ \text { Replacements } \end{array}$ |  |  |
| Independent - Boys | 46 | 0 | 46 | 0 | 0 | 0 | 0 |
| Independent - Girls | 49 | 0 | 49 | 0 | 0 | 0 | 0 |
| Community | 17 | 0 | 17 | 0 | 0 | 0 | 0 |
| Private | 106 | 2 | 104 | 0 | 0 | 0 | 0 |
| Total | 218 | 2 | 216 | 0 | 0 | 0 | 0 |

## Russian Federation

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (42)
- No implicit stratification
- Sampled two classrooms in large schools in Moscow City (measure of size $>270$ ), one classroom otherwise
- An extra sampling stage (regions) was required prior to sampling schools. 28 of 69 regions were selected with probability proportional to the region size and 14 bigger regions were selected with certainty. While each certainty region itself is an explicit stratum, the other sampled regions make one large explicit stratum. In the large explicit stratum, a sample of schools was selected within each region.
- Within regions, schools were selected with probability proportional to (school) size systematic sampling. Schools were sorted (serpentine) by location (up to 7 levels) before being sorted by school size. The same region sample was used for both TIMSS and PIRLS.
- Within the certainty regions, schools were paired for variance calculation purposes. Otherwise, selected regions were paired for variance calculation purposes.


## Allocation of School Sample in Russian Federation

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| Sankt-Petersburg* | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Mosco City* | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Moscow Region* | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Nizhni Novgorod Region* | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Perm Territory* | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Samara Region* | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Republic of Tatarstan* | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Republic of Bashkortostan* | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Krasnodar Territory* | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Rostov Region* | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Chelyabinsk Region* | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Sverdlovsk Region* | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Krasnoyarsk Territory* | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Republic of Dagestan* | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Novgorod Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Kaliningrad Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Vologda Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Voronezh Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Vladimir Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Tula Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Bryansk Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Ryazan Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Kaluga Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Republic of Marij El | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Ulyanovsk Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Chuvashi Republic | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Orenburg Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Saratov Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Astrakhan Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Kurgan Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Khanty Mansijsk AD | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Irkutsk Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |

* Certainty Regions


## Allocation of School Sample in Russian Federation (Continued)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} 1 \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Kemerovo Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Novosibirsk Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Altai Territory | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Zabaikalsk Territory | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Tomsk Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Sakhalin Region | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Republic of Sakha (Yakutia) | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Primorski Territory | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Stravropol Territory | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| KabardinoBalkarian Republic | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Total | 206 | 0 | 206 | 0 | 0 | 0 | 0 |

## Saudi Arabia

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<7$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region and by gender (boys, girls) within larger regions
- No implicit stratification
- Sampled one classroom per school


## Allocation of School Sample in Saudi Arabia

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | $\qquad$ |  |  |
| Asir - Boys | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Asir - Girls | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Bahah | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Eastern Region Boys | 12 | 0 | 10 | 1 | 1 | 0 | 0 |
| Eastern Region Girls | 12 | 0 | 11 | 0 | 1 | 0 | 0 |
| Hail | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Jawf | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Jizan | 10 | 2 | 5 | 1 | 2 | 0 | 0 |
| Madinah - Boys | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Madinah - Girls | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Makkah - Boys | 20 | 0 | 18 | 2 | 0 | 0 | 0 |
| Makkah - Girls | 22 | 0 | 20 | 1 | 1 | 0 | 0 |
| Najran | 8 | 4 | 0 | 1 | 3 | 0 | 0 |
| Northern Borders | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Qassim | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Riyadh | 44 | 0 | 42 | 2 | 0 | 0 | 0 |
| Tabuk | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 208 | 6 | 185 | 9 | 8 | 0 | 0 |

## Singapore

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and private schools
- For PIRLS 2016, like in all previous cycles, Singapore took a census of all public schools with Grade 4 students. The sampling frame excluded private schools, which are largely foreign-system schools operating in Singapore and which serve predominantly international students. These foreign-system schools are fundamentally different from the public schools in many respects (e.g., language of instruction; school-calendar year).
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- No explicit stratification
- No implicit stratification
- Sampled two classrooms per school
- Census of all schools. Within schools, two half classrooms were sampled with probability proportional to the size of the classroom. Within selected classrooms, 19 students were randomly sampled.
- Schools were used as variance estimation strata and classes were used to build jackknife replicates

Allocation of School Sample in Singapore - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\left\lvert\, \begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}\right.$ | 2nd <br> Replacements |  |  |
| None | 177 | 0 | 177 | 0 | 0 | 0 | 0 |
| Total | 177 | 0 | 177 | 0 | 0 | 0 | 0 |

Allocation of School Sample in Singapore - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| None | 177 | 0 | 177 | 0 | 0 | 0 | 0 |
| Total | 177 | 0 | 177 | 0 | 0 | 0 | 0 |

## Slovak Republic

Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and taught in language other than Slovak and Hungarian
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by language (Slovak, Hungarian), socioeconomic status (less than $1 \%$ of students coming from lower socioeconomic status, less than $10 \%$ of students coming from lower socioeconomic status, $10 \%$ or more students from lower socioeconomic status), and region group (5) within Slovak language strata
- No implicit stratification
- Sampled two classrooms per school
- Field Test and Main Data Collection samples were selected separately. The PIRLS Main Data Collection sample was selected using the Chowdhury method to minimize overlap with the PIRLS Field Test sample.
- Systematic sampling selection with equal probabilities used for sampling in strata with large sampling fractions


## Allocation of School Sample in Slovak Republic

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{aligned} & \text { 2nd } \\ & \text { Replacements } \end{aligned}$ |  |  |
| Slovak - Higher SES <br> - Region 1 | 20 | 0 | 19 | 1 | 0 | 0 | 0 |
| Slovak - Higher SES <br> - Regions 2 \& 3 \& 5 | 20 | 0 | 18 | 2 | 0 | 0 | 0 |
| Slovak - Higher SES <br> - Region 4 | 20 | 0 | 19 | 1 | 0 | 0 | 0 |
| Slovak - Higher SES <br> - Regions 6 \& 8 | 16 | 1 | 14 | 1 | 0 | 0 | 0 |
| Slovak - Higher SES <br> - Region 7 | 20 | 0 | 19 | 1 | 0 | 0 | 0 |
| Slovak - Medium and Lower SES Region 1 | 7 | 0 | 7 | 0 | 0 | 0 | 0 |
| Slovak - Medium <br> SES - Regions 2 \& 3 \& 5 | 26 | 0 | 23 | 3 | 0 | 0 | 0 |
| Slovak - Medium SES - Region 4 | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Slovak - Medium <br> SES - Regions 6 \& 7 \& 8 | 20 | 0 | 19 | 1 | 0 | 0 | 0 |
| Slovak - Lower SES - <br> Regions 2 \& 3 \& 5 | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Slovak - Lower SES Region 4 | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Slovak - Lower SES - <br> Regions 6 \& 7 \& 8 | 32 | 0 | 31 | 0 | 1 | 0 | 0 |
| Hungarian - Higher and Medium SES | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| Hungarian - Lower SES | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 221 | 1 | 208 | 11 | 1 | 0 | 0 |

## Slovenia

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and Waldorf schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type according to school structure (main school, dislocated unit) and region (Pomurska, Koroška, Osrednjeslovenska, other regions)
- No implicit stratification
- Sampled two classrooms per school


## Allocation of School Sample in Slovenia - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Main - Pomurska | 10 | 1 | 9 | 0 | 0 | 0 | 0 |
| Main - Koroška | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Main - <br> Osrednjeslovenska | 26 | 1 | 24 | 0 | 0 | 1 | 0 |
| Main - Other Regions | 70 | 0 | 68 | 0 | 0 | 2 | 0 |
| Dislocated - <br> Pomurska | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Dislocated Koroška | 13 | 0 | 13 | 0 | 0 | 0 | 0 |
| Dislocated - <br> Osrednjeslovenska | 13 | 0 | 11 | 0 | 0 | 2 | 0 |
| Dislocated - Other Regions | 22 | 0 | 17 | 0 | 0 | 5 | 0 |
| Total | 172 | 2 | 160 | 0 | 0 | 10 | 0 |

## Allocation of School Sample in Slovenia - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| Main - Pomurska | 10 | 1 | 9 | 0 | 0 | 0 | 0 |
| Main - Koroška | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Main Osrednjeslovenska | 26 | 1 | 24 | 0 | 0 | 1 | 0 |
| Main - Other Regions | 70 | 0 | 68 | 0 | 0 | 2 | 0 |
| Dislocated Pomurska | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Dislocated Koroška | 13 | 0 | 12 | 0 | 0 | 1 | 0 |
| Dislocated Osrednjeslovenska | 13 | 0 | 11 | 0 | 0 | 2 | 0 |
| Dislocated - Other Regions | 22 | 0 | 17 | 0 | 0 | 5 | 0 |
| Total | 172 | 2 | 159 | 0 | 0 | 11 | 0 |

## South Africa

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools, very small schools (measure of size $<6$ ), schools for which language of testing cannot be determined, and schools with less than 30 learners
- Within-school exclusions consisted of students with intellectual disabilities and nonnative language speakers


## Sample Design

- Explicit stratification by language (11) and province (9)
- No implicit stratification
- Sampled two classrooms or more in schools teaching in more than one language
- Class group option was used in schools teaching in more than one language


## Allocation of School Sample in South Africa

Explicit Strata $\left|\begin{array}{c|}\text { Total } \\ \text { Sampled } \\ \text { Schools }\end{array}\right|$

|  |
| :---: |
| Ineligible |
| Schools |


| Participating Schools |  |  |
| :---: | :---: | :---: |
| Original <br> Schools | 1st | 2nd |
| Replacements | Replacements |  |

Refusal Excluded Schools

## Schools

| Afrikaans - <br> Northern Cape | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Afrikaans - All other <br> provinces | 14 | 0 | 12 | 1 | 0 | 1 | 0 |
| English - EC, GT, KZ, <br> LP provinces | 22 | 0 | 19 | 2 | 0 | 1 | 0 |
| English - All other | 16 | 1 | 15 | 0 | 0 | 0 | 0 |


| provinces |  |  | 0 | 0 | 0 | 0 |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| IsiNdebele - All <br> provinces | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| IsiXhosa - Eastern <br> Cape | 16 | 0 | 16 | 0 | 0 | 0 | 0 |


| IsiXhosa - All other <br> provinces | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| IsiZulu - KwaZulu- <br> Natal | 24 | 0 | 18 | 4 | 1 | 1 | 0 |


| IsiZulu - All other <br> provinces | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Sepedi - All <br> provinces | 16 | 0 | 15 | 0 | 0 | 1 | 0 |
| Sesotho - All | 16 | 0 | 16 | 0 | 0 | 0 | 0 |


| provinces | 16 | 0 | 0 | 0 | 0 | 0 |  |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Setswana - <br> Northern Cape | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Setswana - All other <br> provinces | 20 | 0 | 20 | 0 | 0 | 1 | 0 |
| SiSwati - All <br> provinces | 22 | 0 | 21 | 0 | 0 | 0 | 0 |


| Xitsonga - All provinces | 18 | 0 | 17 | 0 | 0 | 1 | 0 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Afrikaans \& English - EC, GT, KZ, LP provinces | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Afrikaans \& English - Northern Cape | 4 | 0 | 2 | 0 | 0 | 2 | 0 |
| Afrikaans \& English <br> - All other provinces | 10 | 0 | 9 | 1 | 0 | 0 | 0 |
| Neither Afrikaans nor English - FS \& NC provinces | 4 | 0 | 4 | 0 | 0 | 0 | 0 |

## Allocation of School Sample in South Africa (Continued)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\left\lvert\, \begin{gathered} 1 \text { 1st } \\ \text { Replacements } \end{gathered}\right.$ | 2nd Replacements |  |  |
| Neither Afrikaans nor English - All other provinces | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Afrikaans/English/ others - EC, GT, KZ, LP provinces | 12 | 0 | 11 | 1 | 0 | 0 | 0 |
| Afrikaans/English/ others - All other provinces | 10 | 1 | 8 | 1 | 0 | 0 | 0 |
| Total | 304 | 2 | 282 | 10 | 1 | 9 | 0 |

## Spain

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and international schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (8), school type (public, private). Within Madrid, private schools were also stratified by category (government dependent, independent) and by bilingual status (bilingual, not bilingual) within the public and government dependent private schools
- No implicit stratification
- Sampled two classrooms in large schools of Andalusia (measure of size >74) and one classroom otherwise
- Oversampling of schools in Andalusia, Asturias, Basque Country, Canary Islands, Castile and Leon, Catalonia, La Rioja, and Madrid


## Allocation of School Sample in Spain

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline 1 \text { st } \\ \text { Replacements } \end{array}$ | $\qquad$ |  |  |
| Andalusia - Public | 110 | 0 | 109 | 1 | 0 | 0 | 0 |
| Andalusia - Private | 40 | 0 | 39 | 0 | 1 | 0 | 0 |
| Asturias - Public | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Asturias - Private | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Castile and Leon Public | 29 | 0 | 29 | 0 | 0 | 0 | 1 |
| Castile and Leon Private | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Catalonia - Public | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Catalonia - Private | 20 | 0 | 19 | 1 | 0 | 0 | 0 |
| La Rioja - Public | 27 | 0 | 27 | 0 | 0 | 0 | 0 |
| La Rioja - Private | 23 | 0 | 23 | 0 | 0 | 0 | 0 |
| Madrid - Public Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Madrid - Public Non Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Madrid - Private Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Madrid - Private - <br> Non Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Madrid - <br> Independent <br> Private - Non <br> Bilingual | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Basque Country Public | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| Basque Country Private | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Other regions Public | 42 | 0 | 41 | 1 | 0 | 0 | 0 |
| Other regions Private | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | 629 | 0 | 625 | 3 | 1 | 0 | 1 |

## Sweden

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools, international schools, special program schools, and very small schools (measure of size < 5)
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by grade average (4)
- No implicit stratification
- Sampled two classrooms per school
- The PIRLS sample was selected by controlling for the overlap with the TIMSS Grade 4 and Grade 8 samples using the Chowdhury approach

Allocation of School Sample in Sweden - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Higher average score | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Medium average score | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Low average score | 24 | 1 | 23 | 0 | 0 | 0 | 0 |
| Missing score | 106 | 3 | 102 | 1 | 0 | 0 | 0 |
| Total | 158 | 4 | 153 | 1 | 0 | 0 | 0 |

Allocation of School Sample in Sweden - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Higher average score | 14 | 0 | 13 | 0 | 0 | 1 | 0 |
| Medium average score | 14 | 0 | 13 | 0 | 0 | 1 | 0 |
| Low average score | 24 | 1 | 22 | 0 | 0 | 1 | 0 |
| Missing score | 106 | 3 | 96 | 0 | 0 | 7 | 0 |
| Total | 158 | 4 | 144 | 0 | 0 | 10 | 0 |

## Trinidad and Tobago

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size < 5)
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by type of school (government-related, private) and region within government-related stratum (8). Government-related strata include government and denominational schools.
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 69)

Allocation of School Sample in Trinidad and Tobago

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | $\begin{aligned} & \text { 2nd } \\ & \text { Replacements } \end{aligned}$ |  |  |
| Private | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Governmentrelated - Caroni | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Governmentrelated - North Eastern | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Governmentrelated - Port of Spain and surroundings | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Governmentrelated - South Eastern | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Governmentrelated - St George East | 32 | 0 | 32 | 0 | 0 | 0 | 0 |
| Governmentrelated - St. Patrick | 16 | 1 | 15 | 0 | 0 | 0 | 0 |
| Governmentrelated - Tobago | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Governmentrelated - Victoria | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | 152 | 1 | 151 | 0 | 0 | 0 | 0 |

## United Arab Emirates

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools, measure of size $<13$ for all Emirates except Dubai and Abu Dhabi and measure of size $<10$ for Dubai, schools with an instructional language other than Arabic, English, or French for Dubai and with an instructional language other than English and Arabic for the other Emirates, geographically inaccessible schools in all Emirates except Dubai, and home schools in Emirates other than Abu Dhabi and Dubai
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by Emirates (7), school type (public, private) and language of instruction (Arabic, English)
- No implicit stratification
- Census of schools in Dubai, Umm Al Quwain, and Fujairah private schools. Also, all private English schools with curriculum not from the United Kingdom, United States, or Canada, in the regions Abu Dhabi and Al Ain were sampled. In census strata, classes or half classes were used to build jackknife replicates for variance estimation. Two classrooms selected within these schools. Some schools are paired together within an explicit stratum when there is only one class participating.


## Allocation of School Sample in United Arab Emirates - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | $\qquad$ |  |  |
| Public - Arabic | 28 | 1 | 27 | 0 | 0 | 0 | 0 |
| Private - Arabic | 9 | 1 | 8 | 0 | 0 | 0 | 0 |
| Private - English | 138 | 1 | 136 | 0 | 0 | 1 | 0 |
| Private - French | 3 | 0 | 3 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Public - Both - ADEC Schools | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - Arabic - Ministry of Education | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - UK/US/ CAD | 30 | 1 | 29 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - Others | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| $\begin{aligned} & \text { Al Ain - Public } \\ & \text { - Both - ADEC } \\ & \text { Schools } \end{aligned}$ | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Al Ain - Private - <br> Arabic - Ministry of Education | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| $\begin{aligned} & \text { Al Ain - Private - } \\ & \text { English - UK/US/ } \\ & \text { CAD } \end{aligned}$ | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - Others | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Al Gharbia | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Sharjah - Public -Arabic | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Sharjah - Private Arabic | 12 | 0 | 10 | 1 | 0 | 1 | 0 |
| Sharjah - Private English | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Ajman - Public Arabic | 12 | 0 | 10 | 0 | 0 | 2 | 0 |
| Ajman - Private Arabic | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| ```Ajman - Private - English``` | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Umm Al Quwain Public - Arabic | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Umm Al Quwain -Private-Arabic | 1 | 0 | 1 | 0 | 0 | 0 | 0 |

Allocation of School Sample in United Arab Emirates - PIRLS (Continued)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\qquad$ | 2nd Replacements |  |  |
| Umm Al Quwain Private - English | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Fujairah - Public Arabic | 18 | 0 | 18 | 0 | 0 | 0 | 0 |
| Fujairah - Private Arabic | 5 | 0 | 4 | 0 | 0 | 1 | 0 |
| Fujairah - Private English | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Ras AI Khaimah Public - Arabic | 16 | 1 | 15 | 0 | 0 | 0 | 0 |
| Ras Al Khaimah - <br> Private - Arabic | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| Ras AI Khaimah - <br> Private - English | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| Total | 482 | 7 | 467 | 1 | 0 | 7 | 0 |

## Allocation of School Sample in United Arab Emirates - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | $\qquad$ |  |  |
| Public - Arabic | 28 | 1 | 27 | 0 | 0 | 0 | 0 |
| Private - Arabic | 9 | 1 | 8 | 0 | 0 | 0 | 0 |
| Private - English | 138 | 1 | 136 | 0 | 0 | 1 | 0 |
| Private - French | 3 | 0 | 3 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Public <br> - Both - ADEC <br> Schools | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - Arabic - Ministry of Education | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - UK/US/ CAD | 30 | 1 | 29 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - Others | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| $\begin{aligned} & \hline \text { Al Ain - Public } \\ & \text { - Both - ADEC } \\ & \text { Schools } \end{aligned}$ | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Al Ain - Private - <br> Arabic - Ministry of Education | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - UK/US/ CAD | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - Others | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Al Gharbia | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Sharjah - Public Arabic | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Sharjah - Private - <br> Arabic | 12 | 0 | 10 | 1 | 0 | 1 | 0 |
| Sharjah - Private English | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Ajman - Public Arabic | 12 | 0 | 9 | 0 | 0 | 3 | 0 |
| Ajman - Private Arabic | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Ajman - Private English | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Umm Al Quwain Public - Arabic | 6 | 0 | 6 | 0 | 0 | 0 | 0 |
| Umm Al Quwain - <br> Private - Arabic | 1 | 0 | 1 | 0 | 0 | 0 | 0 |



## United States

## Coverage and Exclusions

- Coverage is 100 percent
- No school level exclusions
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by poverty level (high, low), school type (public, private), and census region (4)
- Implicit stratification by urbanization (city, suburb, town, rural) and ethnicity status (above $15 \%$ non-White students in a school, below $15 \%$ non-White students in a school)
- Sampled two classrooms in large schools
- High poverty level schools were oversampled


## Allocation of School Sample in United States - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | 2nd Replacements |  |  |
| High Poverty Level Public - Northeast | 8 | 0 | 7 | 1 | 0 | 0 | 0 |
| High Poverty Level Public - Midwest | 9 | 0 | 6 | 1 | 0 | 2 | 0 |
| High Poverty Level Public - South | 24 | 0 | 23 | 1 | 0 | 0 | 0 |
| High Poverty Level Public - West | 9 | 0 | 6 | 0 | 0 | 3 | 0 |
| Low Poverty Level Private - Northeast | 3 | 1 | 2 | 0 | 0 | 0 | 0 |
| Low Poverty Level - <br> Private - Midwest | 3 | 0 | 2 | 1 | 0 | 0 | 0 |
| Low Poverty Level Private - South | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Low Poverty Level Private - West | 2 | 1 | 0 | 0 | 0 | 1 | 0 |
| Low Poverty Level Public - Northeast | 18 | 1 | 11 | 3 | 0 | 3 | 0 |
| Low Poverty Level Public - Midwest | 25 | 0 | 15 | 5 | 3 | 2 | 0 |
| Low Poverty Level Public - South | 41 | 1 | 35 | 3 | 2 | 0 | 0 |
| Low Poverty Level Public - West | 30 | 0 | 20 | 6 | 1 | 3 | 0 |
| Total | 176 | 4 | 131 | 21 | 6 | 14 | 0 |

## Allocation of School Sample in United States - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\begin{array}{\|c} \text { 2nd } \\ \text { Replacements } \end{array}$ |  |  |
| High Poverty Level Public - Northeast | 8 | 0 | 7 | 0 | 0 | 1 | 0 |
| High Poverty Level Public - Midwest | 9 | 0 | 6 | 1 | 0 | 2 | 0 |
| High Poverty Level Public - South | 24 | 0 | 22 | 1 | 0 | 1 | 0 |
| High Poverty Level Public - West | 9 | 0 | 6 | 0 | 0 | 3 | 0 |
| Low Poverty Level Private - Northeast | 3 | 1 | 2 | 0 | 0 | 0 | 0 |
| Low Poverty Level Private - Midwest | 3 | 0 | 2 | 1 | 0 | 0 | 0 |
| Low Poverty Level Private - South | 4 | 0 | 4 | 0 | 0 | 0 | 0 |
| Low Poverty Level Private - West | 2 | 1 | 0 | 0 | 0 | 1 | 0 |
| Low Poverty Level Public - Northeast | 18 | 1 | 11 | 3 | 0 | 3 | 0 |
| Low Poverty Level Public - Midwest | 25 | 0 | 15 | 5 | 2 | 3 | 0 |
| Low Poverty Level Public - South | 41 | 1 | 35 | 3 | 2 | 0 | 0 |
| Low Poverty Level Public - West | 30 | 0 | 18 | 6 | 1 | 5 | 0 |
| Total | 176 | 4 | 128 | 20 | 5 | 19 | 0 |

## Characteristics of Benchmarking Participants

## Buenos Aires, Argentina

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of distance learning schools and special education schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private) and socioeconomic status (low, medium, high)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size $>70$ )

Allocation of School Sample in Buenos Aires, Argentina

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original <br> Schools | $\begin{array}{\|c\|} \hline 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| State - Low SES | 32 | 0 | 25 | 7 | 0 | 0 | 0 |
| State - Medium SES | 31 | 0 | 30 | 1 | 0 | 0 | 0 |
| State - High SES | 15 | 0 | 10 | 5 | 0 | 0 | 0 |
| Private - Low SES | 18 | 0 | 16 | 2 | 0 | 0 | 0 |
| Private - Medium SES | 27 | 0 | 24 | 3 | 0 | 0 | 0 |
| Private - High SES | 27 | 0 | 26 | 1 | 0 | 0 | 0 |
| Total | 150 | 0 | 131 | 19 | 0 | 0 | 0 |

## Ontario, Canada

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<6$ ), special needs schools, and First Nations schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (private, Catholic, public) and language (English, French) within Catholic and public schools
- Implicit stratification by region (4) in public and Catholic explicit strata
- Sampled two classrooms in large schools (measure of size > 79)
- The school sample for PIRLS was selected by controlling for the overlap with the TIMSS Grade 4 using the Chowdhury approach

Allocation of School Sample in Ontario, Canada

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Private | 8 | 0 | 0 | 1 | 0 | 7 | 0 |
| English - Catholic | 30 | 0 | 30 | 0 | 0 | 0 | 0 |
| English - Public | 80 | 2 | 77 | 1 | 0 | 0 | 0 |
| French - Catholic \& Public | 80 | 0 | 79 | 0 | 0 | 1 | 0 |
| Total | 198 | 2 | 186 | 2 | 0 | 8 | 0 |

## Quebec, Canada

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<10$ ), special needs schools, international schools, non ministry schools, and special status schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private) and language (French, English)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size >80)
- The school sample for PIRLS was selected by controlling for the overlap with the TIMSS Grade 4 using the Chowdhury approach

Allocation of School Sample in Quebec, Canada

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\left\lvert\, \begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}\right.$ | $\begin{gathered} \text { 2nd } \\ \text { Replacements } \end{gathered}$ |  |  |
| English - Private | 8 | 1 | 7 | 0 | 0 | 0 | 0 |
| English - Public | 42 | 0 | 39 | 0 | 0 | 3 | 0 |
| French - Private | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| French - Public | 118 | 1 | 35 | 25 | 13 | 44 | 0 |
| Total | 176 | 2 | 89 | 25 | 13 | 47 | 0 |

## Denmark (3)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, daycare and rehabilitation home schools as well as German, English, and Rudolf Steiner schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (2)
- No implicit stratification
- Sampled one classroom per school
- The same sample of schools for PIRLS Grade 4 was used for Grade 3

Allocation of School Sample in Denmark (3)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Public | 171 | 7 | 154 | 9 | 0 | 1 | 0 |
| Private | 27 | 0 | 16 | 6 | 1 | 4 | 0 |
| Total | 198 | 7 | 170 | 15 | 1 | 5 | 0 |

## Norway (4)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<5$ ), special needs schools, instructional language other than Bokmal and Nynorsk, and school for adults
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by "Grade 4"/"Grade 4 and Grade 5" schools and language within "Grade 4 and Grade 5" (Bokmål, Nynorsk)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 45)
- The PIRLS school samples were selected by controlling for the overlap with the TIMSS 2015 sample using the Chowdhury approach


## Allocation of School Sample in Norway (4)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal <br> Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} \text { 1st } \\ \text { Replacements } \end{array}$ | $\begin{array}{\|c\|} \text { 2nd } \\ \text { Replacements } \end{array}$ |  |  |
| Grade 4 | 9 | 0 | 7 | 2 | 0 | 0 | 0 |
| Grade 4 and Grade 5 - Bokmål | 126 | 0 | 120 | 5 | 0 | 1 | 0 |
| Grade 4 and Grade 5 - Nynorsk | 20 | 0 | 20 | 0 | 0 | 0 | 0 |
| Total | 155 | 0 | 147 | 7 | 0 | 1 | 0 |

## Moscow City, Russian Federation

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ) and special needs schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- No explicit stratification
- No implicit stratification
- Sampled 2 classrooms in large schools (measure of size > 270)

Allocation of School Sample in Moscow City, Russian Federation

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} \text { 1st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Moscow City | 150 | 0 | 150 | 0 | 0 | 0 | 0 |
| Total | 150 | 0 | 150 | 0 | 0 | 0 | 0 |

## Eng/Afr/Zulu - RSA (5)

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools, very small schools (measure of size < 6), schools with less than 30 learners, and Afrikaans \& IsiZulu \& English schools
- Within-school exclusions consisted of non-native language speakers


## Sample Design

- Explicit stratification by language (Afrikaans only, English only, IsiZulu only, Afrikaans and English schools, IsiZulu and English schools)
- No implicit stratification
- Sampled two classrooms in bilingual schools
- The PIRLS Grade 5 sample was selected by controlling for the overlap with the Grade 4 PIRLS Literacy sample using the Chowdhury approach
- Class group option was used in bilingual schools

Allocation of School Sample in Eng/Afr/Zulu - RSA (5)

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Afrikaans - No English, No IsiZulu | 24 | 0 | 20 | 1 | 0 | 3 | 0 |
| English - No Afrikaans, No IsiZulu | 45 | 10 | 29 | 1 | 0 | 5 | 0 |
| IsiZulu - No Afrikaans, No English | 49 | 1 | 41 | 1 | 3 | 3 | 0 |
| Afrikaans \& English | 25 | 1 | 19 | 2 | 0 | 3 | 0 |
| IsiZulu \& English | 9 | 1 | 8 | 0 | 0 | 0 | 0 |
| Total | 152 | 13 | 117 | 5 | 3 | 14 | 0 |

## Andalusia, Spain

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<4$ ), special needs schools, and international schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private)
- No implicit stratification
- Sampled two classrooms in large schools (measure of size > 74)


## Allocation of School Sample in Andalusia, Spain

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\left\lvert\, \begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}\right.$ | 2nd Replacements |  |  |
| Public | 110 | 0 | 109 | 1 | 0 | 0 | 0 |
| Private | 40 | 0 | 39 | 0 | 1 | 0 | 0 |
| Total | 150 | 0 | 148 | 1 | 1 | 0 | 0 |

## Madrid, Spain

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of special needs schools and international schools
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, government dependent private, independent private) and bilingual status (bilingual, non bilingual)
- No implicit stratification
- Sampled one classroom per school

Allocation of School Sample in Madrid, Spain

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} \hline \text { 1st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Public - Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Public - Non Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Private - Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Private - Non Bilingual | 40 | 0 | 40 | 0 | 0 | 0 | 0 |
| Independent Private - Non Bilingual | 8 | 0 | 8 | 0 | 0 | 0 | 0 |
| Total | 168 | 0 | 168 | 0 | 0 | 0 | 0 |

## Abu Dhabi, United Arab Emirates

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of remote schools, and schools with an instructional language other than Arabic or English
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by region (Abu Dhabi, Al Ain, Al Gharbia), school type (public, private), language (Arabic, English), and curriculum (4)
- No implicit stratification
- All Private English schools with curriculum not from United Kingdom, United States, or Canada, were sampled in the regions Abu Dhabi and Al Ain. Two classrooms selected within these schools whenever possible. In these census strata, classes or half classes were used to build jackknife replicates for variance estimation. Sampled one classroom per school in other strata.

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{gathered} 1 \text { st } \\ \text { Replacements } \end{gathered}$ | 2nd Replacements |  |  |
| Abu Dhabi - Public <br> - Both - ADEC <br> schools | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - Arabic - Ministry of Education | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - UK/US/ CAD | 30 | 1 | 29 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - Others | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| Al Ain - Public <br> - Both - ADEC <br> schools | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Al Ain - Private - <br> Arabic - Ministry of Education | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - UK/US/ CAD | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - Others | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Al Gharbia | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Total | 153 | 2 | 151 | 0 | 0 | 0 | 0 |


| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | 1st Replacements | $\qquad$ |  |  |
| Abu Dhabi - Public <br> - Both - ADEC <br> schools | 26 | 0 | 26 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - Arabic - Ministry of Education | 14 | 0 | 14 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private - English - UK/US/ CAD | 30 | 1 | 29 | 0 | 0 | 0 | 0 |
| Abu Dhabi - Private <br> - English - Others | 18 | 1 | 17 | 0 | 0 | 0 | 0 |
| $\begin{aligned} & \text { AI Ain - Public } \\ & \text { - Both - ADEC } \\ & \text { schools } \end{aligned}$ | 22 | 0 | 22 | 0 | 0 | 0 | 0 |
| Al Ain - Private - <br> Arabic - Ministry of Education | 9 | 0 | 9 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - UK/US/ CAD | 12 | 0 | 12 | 0 | 0 | 0 | 0 |
| Al Ain - Private English - Others | 10 | 0 | 10 | 0 | 0 | 0 | 0 |
| Al Gharbia | 12 | 0 | 11 | 0 | 0 | 1 | 0 |
| Total | 153 | 2 | 150 | 0 | 0 | 1 | 0 |

## Dubai, United Arab Emirates

## Coverage and Exclusions

- Coverage is 100 percent
- School-level exclusions consisted of very small schools (measure of size $<10$ ), and schools with an instructional language other than Arabic, English, or French
- Within-school exclusions consisted of students with intellectual disabilities, students with functional disabilities, and non-native language speakers


## Sample Design

- Explicit stratification by school type (public, private) and language (Arabic, English, French)
- No implicit stratification
- Sampled two classrooms per school
- Census of all schools
- Schools or classes were used as variance estimation strata and classes or half classes were used to build jackknife replicates

Allocation of School Sample in Dubai, United Arab Emirates - PIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c\|} 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd Replacements |  |  |
| Public - Arabic | 28 | 1 | 27 | 0 | 0 | 0 | 0 |
| Private - Arabic | 9 | 1 | 8 | 0 | 0 | 0 | 0 |
| Private - English | 138 | 1 | 136 | 0 | 0 | 1 | 0 |
| Private - French | 3 | 0 | 3 | 0 | 0 | 0 | 0 |
| Total | 178 | 3 | 174 | 0 | 0 | 1 | 0 |

Allocation of School Sample in Dubai, United Arab Emirates - ePIRLS

| Explicit Strata | Total Sampled Schools | Ineligible Schools | Participating Schools |  |  | Refusal Schools | Excluded Schools |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Original Schools | $\begin{array}{\|c} 1 \text { st } \\ \text { Replacements } \end{array}$ | 2nd <br> Replacements |  |  |
| Public - Arabic | 28 | 1 | 27 | 0 | 0 | 0 | 0 |
| Private - Arabic | 9 | 1 | 8 | 0 | 0 | 0 | 0 |
| Private - English | 138 | 1 | 136 | 0 | 0 | 1 | 0 |
| Private - French | 3 | 0 | 3 | 0 | 0 | 0 | 0 |
| Total | 178 | 3 | 174 | 0 | 0 | 1 | 0 |


[^0]:    1 Given the cognitive demands of the assessment, PIRLS wants to avoid assessing very young students. Thus, PIRLS recommends assessing the next

